

基础光学

手写笔记

参宿四星云
Betelgeuse Nebula

2022年12月 完稿
2023年10月 整理

参考抄的文献

- [1] 陈敏,赵福利,董建文.光学
- [2] 赵凯华.光学
- [3] Eugene Hecht. OPTICS

参考抄的课件/课程

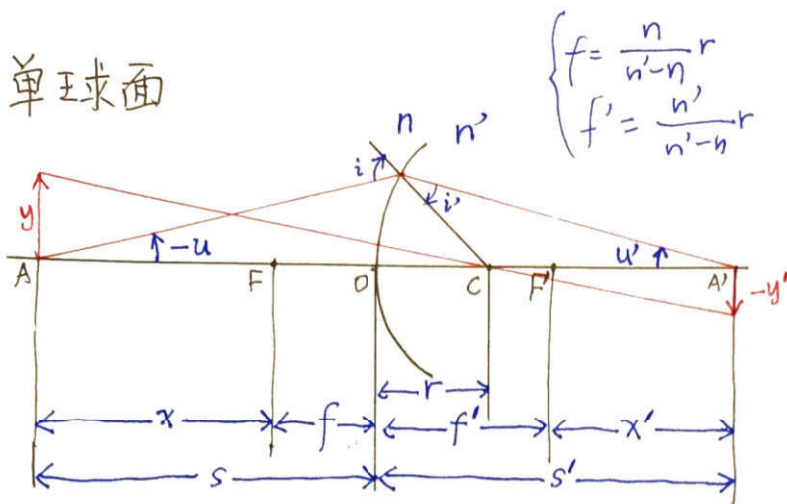
- [1] 中大物院 陈瑞 《光学》
- [2] 中大物天 叶贤基 《波动光学》

Part 1

同步课程

陈瑞 《光学》

1° 单球面



$$\begin{cases} f = \frac{n}{n'-n} r \\ f' = \frac{n'}{n'-n} r \end{cases}$$

← 正弦定理 × 近轴近似:

1° 物像位置关系公式 $\frac{n'}{s'} + \frac{n}{s} = \frac{n'-n}{r}$

高斯公式 $\frac{f}{s} + \frac{f'}{s'} = 1$

牛顿公式 $xx' = ff'$

1° 2° 垂轴放大率 $\beta \equiv \frac{y'}{y} = -\frac{x'}{f'} = -\frac{f}{x}$

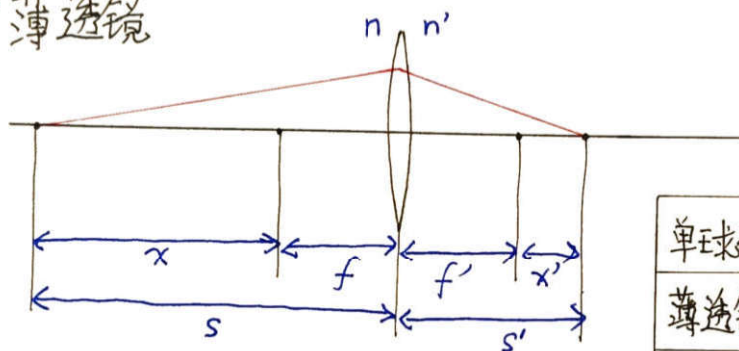
轴向放大率 $\alpha \equiv \frac{ds'}{ds} = -\frac{n'}{n} \beta^2$

角放大率 $\gamma \equiv \frac{u'}{u} = -\frac{s}{s'}$

$$\Rightarrow \begin{cases} \alpha \gamma = \beta \\ \beta \gamma = \frac{f}{f'} = \frac{n}{n'} \end{cases}$$

1° 拉赫不变量 $J \equiv n y u = n' y' u'$

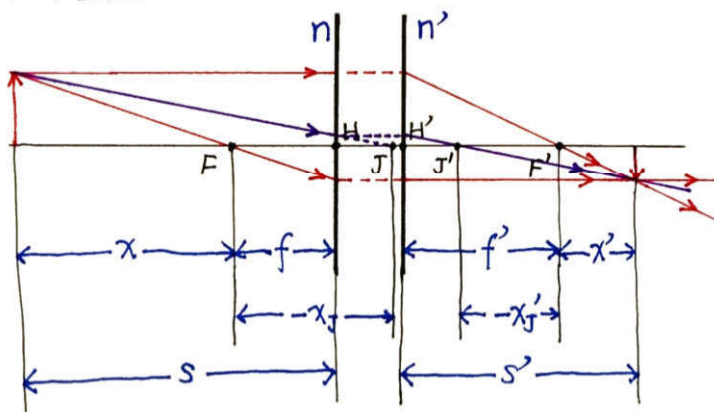
2° 薄透镜



光学系统的基点

	主点 $\beta=1$	焦点	节点 $\gamma=1$
单球面	顶点		球心
薄透镜	光心	物方焦点 & 像方焦点	光心
理想光具组	物方主点 & 像方主点		$x_H = -f'$ $x_{H'} = -f$

3° 理想光具组



光焦度 (单位为屈光度 D)

1° $\Phi \equiv \frac{n'}{s'} + \frac{n}{s} = \frac{n'-n}{r} = \frac{n'}{f'} = \frac{n}{f}$

2° $\Phi = \Phi_1 + \Phi_2$

$\Phi > 0$, 会聚 (正透镜)

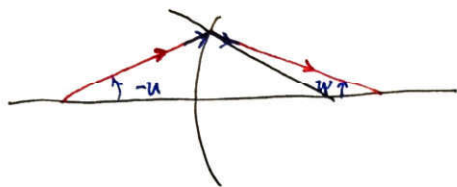
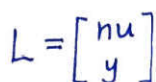
$\Phi < 0$, 发散 (负透镜)

$\Phi = 0$,

4° 共轴球面系统组合

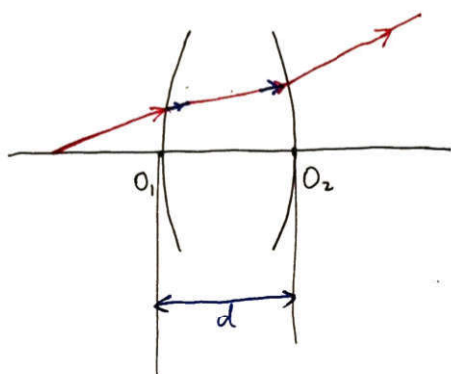
$$\begin{cases} f = -\frac{f_1 f_2}{\Delta} \\ f' = -\frac{f'_1 f'_2}{\Delta} \end{cases}, \Delta \text{ 为光学间距, } \Delta = d - f'_1 - f_2$$

$$\Phi = \Phi_1 + \Phi_2 - \frac{d}{n} \Phi_1 \Phi_2, n \text{ 为 } L_1 L_2 \text{ 之间的 } n.$$

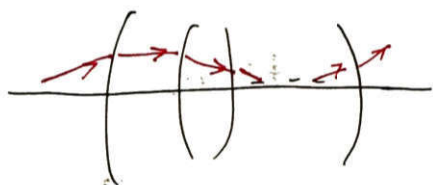


$$L' = RL, \text{ 其中 } R = \begin{bmatrix} 1 & \Phi \\ 0 & 1 \end{bmatrix}$$

折射矩阵



$$L_2 = T_{21} L_1', \text{ 其中 } T_{21} = \begin{bmatrix} 1 & 0 \\ -\frac{d}{n_1} & 1 \end{bmatrix}$$



$$L_N' = R_N T_{N,N-1} R_{N-1} T_{N-1,N-2} \dots R_3 T_{32} R_2 T_{21} R_1 L_1$$

$$S = R_N T_{N,N-1} \cdots R_1$$

系统矩阵 (初始均为R)

$$A = T_{B'N} S T_{IB} = \begin{bmatrix} \frac{1}{\beta} & 0 \\ 0 & \beta \end{bmatrix}$$

物像矩阵 (始末均为 T)

推论: 厚透镜的系统矩阵 $S = R_2 T_{2,1} R_1 = \begin{bmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{bmatrix}$ 中,

$$\begin{cases} S_{12} = \Phi = \Phi_1 + \Phi_2 - \frac{d}{n_1'} \Phi_1 \Phi_2 \\ S_{11} = 1 - \frac{d}{n_1'} \Phi_2 \\ S_{22} = 1 - \frac{d}{n_1'} \Phi_1 \\ S_{21} = -\frac{d}{n_1'} \\ |S| = 1 \end{cases}$$

光波中 电场与磁场有 $\sqrt{\epsilon_0 \epsilon_r} E = \sqrt{\mu_0 \mu_r} H$

光波的传播速度为 $v = \frac{c}{\sqrt{\epsilon_r \mu_r}}$, 其中 ~~真空~~ 真空中光速 $c = \frac{1}{\sqrt{\epsilon_0 \mu_0}}$

光学介质的折射率 $\boxed{n = \sqrt{\epsilon_r \mu_r}}$ $\overset{\text{非铁磁性}}{\approx} \sqrt{\epsilon_r}$

单色平面光波的波函数 $E = E_0 \cos [\omega(t - \frac{x}{v}) - \varphi_0]$
 $= E_0 \cos (\omega t - kx - \varphi_0)$

其中波数 $k = \frac{\omega}{v} = \frac{2\pi}{\lambda} = \frac{2\pi n}{\lambda_0}$

光的能流密度 S (坡印廷矢量) $\vec{S} = \vec{E} \times \vec{H}$ 对时间的平均值为光强

$$S = EH = \sqrt{\frac{\epsilon_0 \epsilon_r}{\mu_0 \mu_r}} E^2 = c \epsilon_0 n E^2$$

$$I = \frac{1}{2} c \epsilon_0 n E^2$$

相干光的必要条件: ① 叠加光有互相平行的光振动分量
 ② 波长 & 频率相等 $\times$
 ③ 光波在叠加区相位差稳定

$$\boxed{I = I_1 + I_2 + 2\sqrt{I_1 I_2} \cos \delta}, \text{ 其中 } \delta \text{ 为相位差}$$

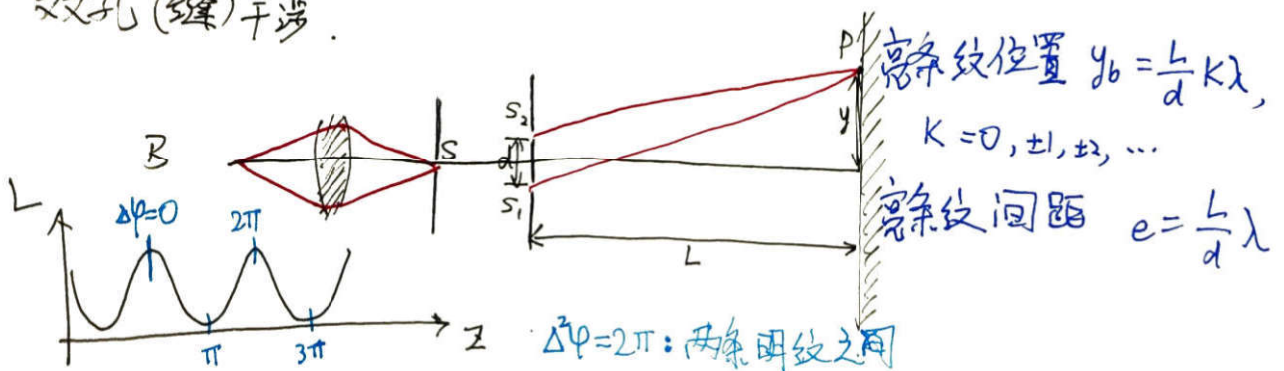
$$\begin{pmatrix} I_{\max} = (\sqrt{I_1} + \sqrt{I_2})^2 \\ I_{\min} = (\sqrt{I_1} - \sqrt{I_2})^2 \end{pmatrix}$$

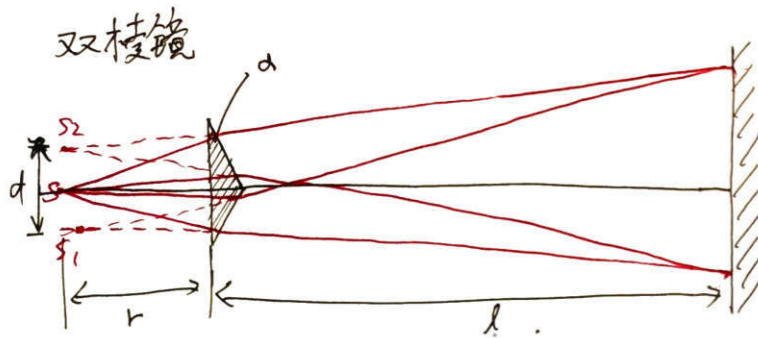
$$\text{条纹可见度 } V = \frac{2\sqrt{I_1 I_2}}{I_1 + I_2}$$

$\rightarrow 1$ 最明显
 $\rightarrow 0$ 不可见

$$\star \boxed{V \equiv \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}}$$

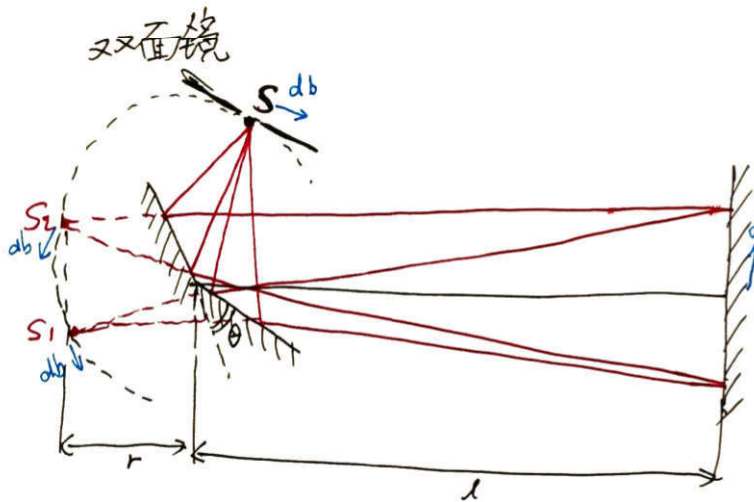
双孔(缝)干涉





亮条纹间距

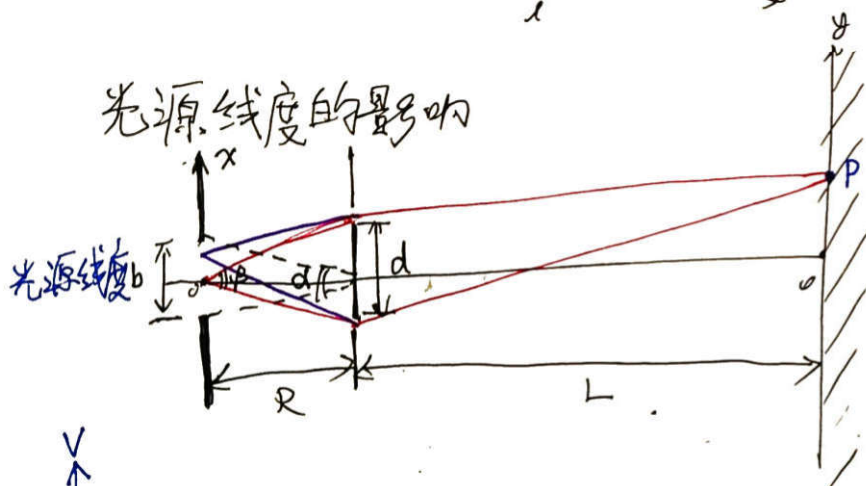
$$e = \frac{r+l}{d} \lambda = \frac{(r+l)\lambda}{2r(n-1)\alpha}$$



$$e = \frac{\lambda(r+l)}{2 \sin \theta \cdot r}$$

注: 反射光波相对入射光波有相位跃变 π

$$\frac{ds}{db} = \frac{1}{r}$$

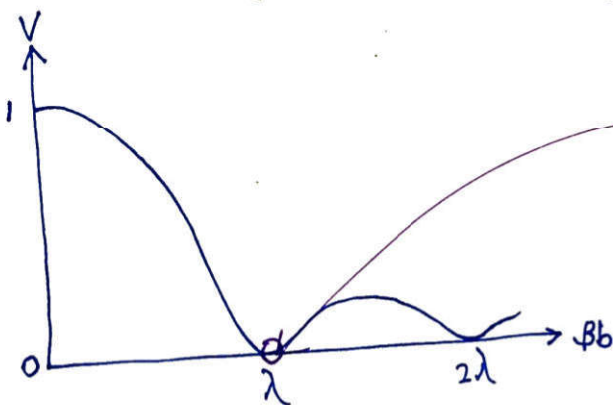


干涉孔径角 $\beta \equiv \frac{d}{R}$

P点干涉光强为

$$I_p = 2I_1 \left[1 + \frac{\sin \frac{\pi}{\lambda} \beta b}{\frac{\pi}{\lambda} \beta b} \cos \delta_0 \right]$$

$$V = \frac{\left| \sin \frac{\pi}{\lambda} \beta b \right|}{\frac{\pi}{\lambda} \beta b}$$

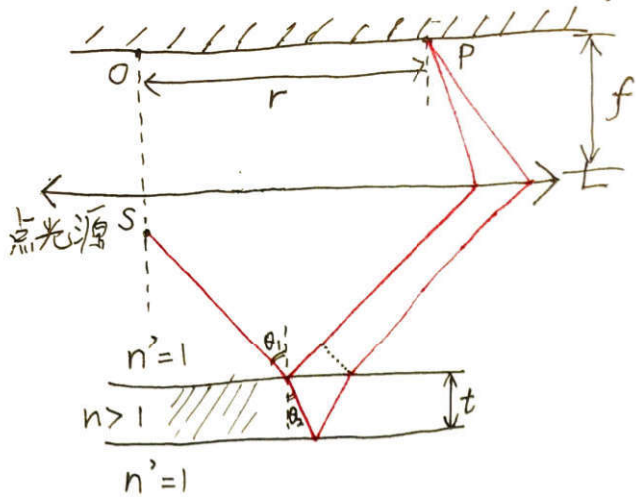


临界宽度 (对应第一个V的零点)

$$b_c = \frac{\lambda}{\beta} = \frac{R\lambda}{d}$$

注: 可用于测量红巨星的视直径.

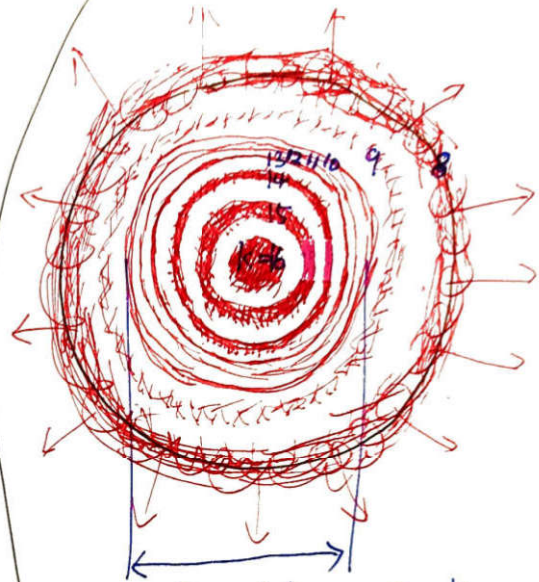
等倾条纹 (定域) 注: 反射只有在光疏介质一方才有半波损失.



光程差 $\Delta L = 2nt \cdot \cos \theta_2 + \frac{\lambda}{2}$ (严格)

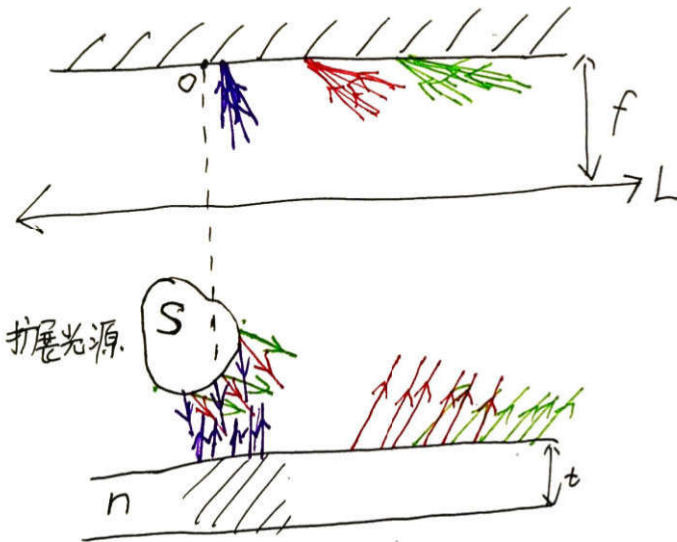
k级亮纹: $\Delta L = k\lambda$;
 $\cos i_k = \frac{(k-\frac{1}{2})\lambda}{2nt}$; ($i_k \equiv \theta_2$).

亮纹间距: $\Delta r \approx \frac{-\lambda}{2nt \cdot \sin i_k}$



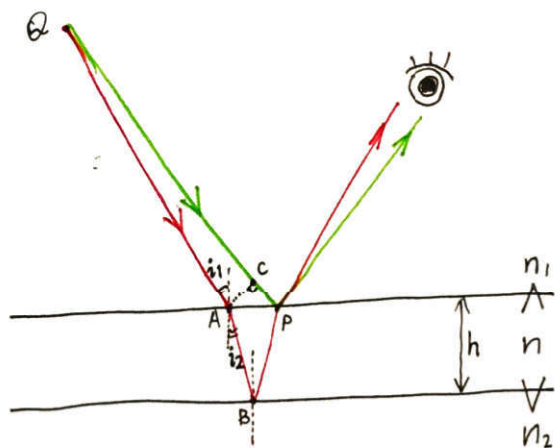
一般只考虑中心区域.

每冒出一个环 $\Leftrightarrow t \pm = \frac{\lambda}{2n}$



应用: 增速镜: 镀膜厚度为 $\frac{\lambda}{4n}$ 或其奇数倍.

等厚干涉 (定域)

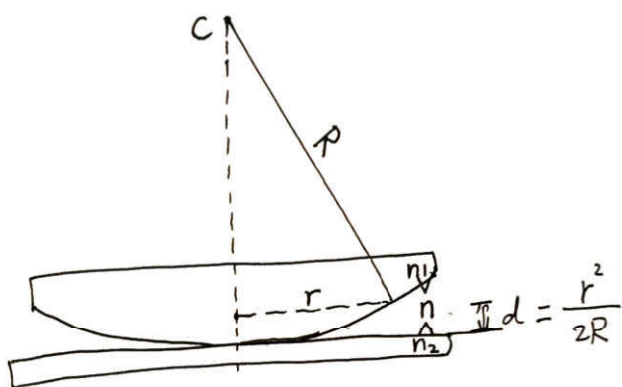


$$\Delta L(P) \approx 2nh \cos i_2$$

⇒ 若 S 无穷远 / 平行光源，
则干涉条纹(明、暗)为等厚线，

其厚度为
$$\begin{cases} h = \frac{2k \cdot \lambda}{4n \cdot \cos i_2} & (\text{明}) \\ h = \frac{(2k+1) \cdot \lambda}{4n \cdot \cos i_2} & (\text{暗}) \end{cases}$$

光线垂直入射时，每相差一个条纹， $\Delta h = \frac{\lambda}{2n}$ 。

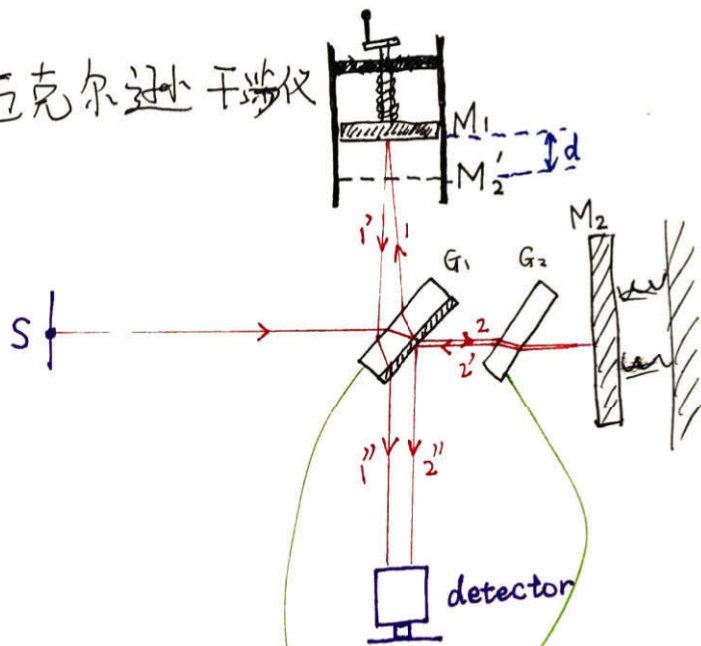


$$\Delta L = 2d + \frac{\lambda}{2}$$

暗 $r_d = \sqrt{mR\lambda}$, $m=0, 1, 2, \dots$

明 $r_b = \sqrt{(m-\frac{1}{2})R\lambda}$, $m=1, 2, \dots$

迈克尔逊干涉仪



S detector
激光 屏
扩展光源 眼

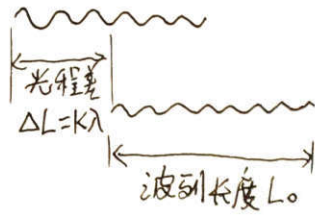
条纹移动 N 条: $\Delta d = N \cdot \frac{\lambda}{2}$

10个图: 赵书 P98.

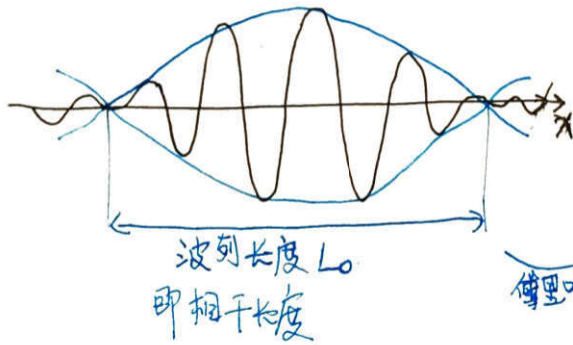
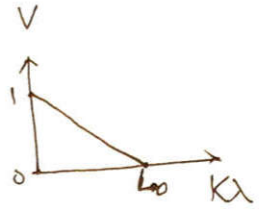
若 G_1 & G_2 材质相同，则 G_1 & G_2 等厚且
等倾角 (确保 M_2' 的位置不随波长而变)
使 0 级暗纹放在中心。

分光片 补偿片 - common-mode noise rejection
玻璃，光程差随温度变化
热胀冷缩 折射率变化

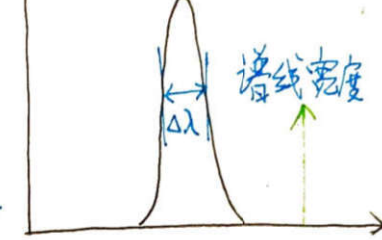
时间相干性



$$V = \begin{cases} 1 - \frac{k\lambda}{L_0} & (k\lambda \leq L_0 \text{ 时}) \\ 0 & (k\lambda > L_0 \text{ 时}) \end{cases}$$



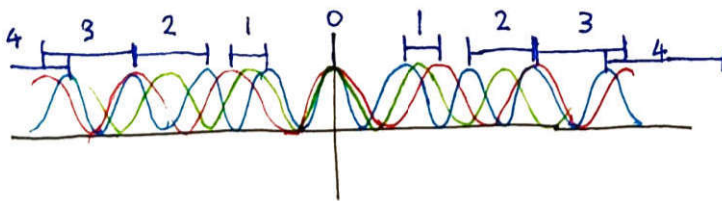
$$\frac{dI_\lambda}{d\lambda}$$



$$L_0 \sim \frac{\lambda^2}{\Delta\lambda}$$

产生干涉 最大允许的光程差

泰勒判据: 半强度宽度



干涉条纹消失:

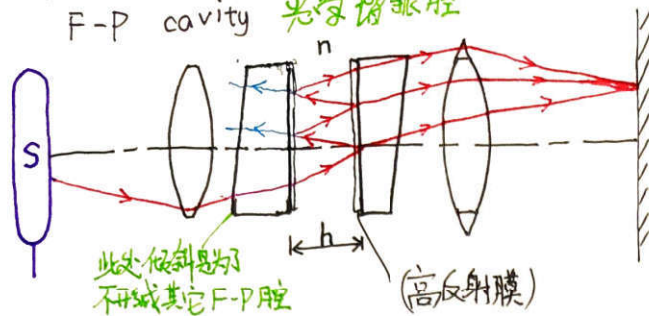
$$\lambda(m+1) = m(\lambda + \Delta\lambda)$$

$$\Rightarrow m = \frac{\lambda}{\Delta\lambda}$$

能分辨的最高级次

分振幅法中的减幅多光束干涉 法布里-珀罗干涉仪 (非定域)

F-P cavity 光学谐振腔



叠加后: $\delta = \frac{4\pi}{\lambda} nh \cos\theta$

合反射光复振幅: $E_R = \frac{r(1-e^{i\delta})}{1-r^2e^{i\delta}} E_0$

合透射光复振幅: $E_T = \frac{(1-r^2)}{1-r^2e^{i\delta}} E_0$

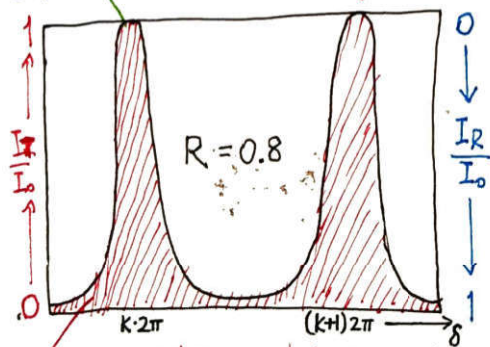
光强反射率 $R \equiv r^2$

$$I_R = \frac{4R \sin^2 \frac{\delta}{2}}{(1-R)^2 + 4R \sin^2 \frac{\delta}{2}} I_0$$

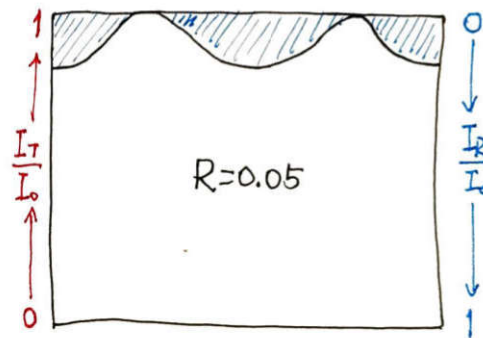
$r \rightarrow 1$ 时非常尖锐,

即 I_T 时 $\delta = \frac{4\pi}{\lambda} nh \cos\theta$
非常敏感, 可探测引力波

$$I_T = \frac{(1-R)^2}{(1-R)^2 + 4R \sin^2 \frac{\delta}{2}} I_0$$



R 大时, 透射干涉亮纹细锐



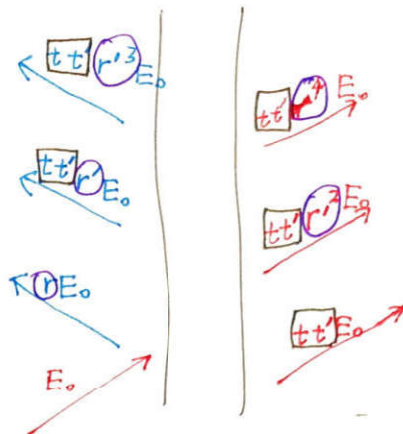
$$R \text{ 小时, } I_R \approx 4R \sin^2 \frac{\delta}{2} \cdot I_0$$

等幅双光束干涉光强

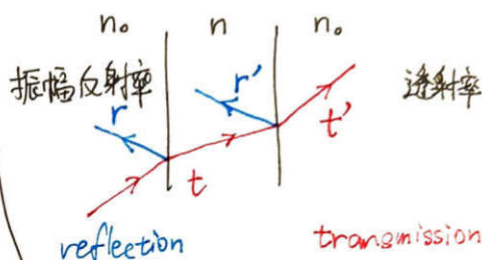
Coefficient of finesse
精细度/锐度系数

$$F = \left(\frac{2r}{1-r^2} \right)^2 \quad \text{也可看作光在 F-P 腔中反射的次数}$$

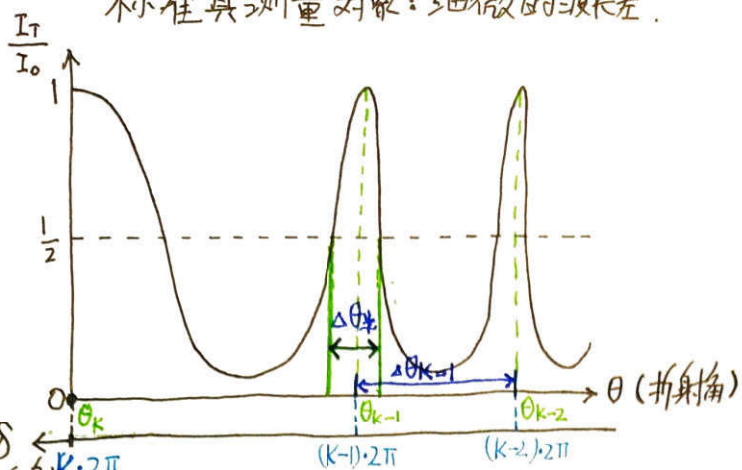
Airy function $\frac{I_t}{I_0} = \frac{1}{1 + F \sin^2 \frac{\delta}{2}}$



斯托克斯关系 $\begin{cases} r = -r' \\ r^2 + t t' = 1 \end{cases}$



标准具测量对象：细微的波长差。



同轴干涉： $\delta = \frac{4\pi}{\lambda} nh \cos \theta$

⇒ 半强度宽度

$$\Delta \theta_{\frac{1}{2}} = \frac{\lambda}{4\pi nh \sin \theta_k} \cdot \Delta \delta_{\frac{1}{2}}$$

$$\Delta \delta_{\frac{1}{2}} = \frac{2(1-R)}{\sqrt{R}}$$

(相邻两反射光间的相位差)

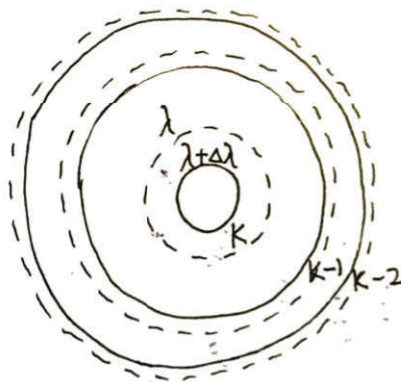
条纹的精细度 F (fineness) : $F \equiv \frac{\Delta \theta_k}{\Delta \theta_{\frac{1}{2}}} = \frac{\pi \sqrt{R}}{1-R}$ (与 θ, δ 无关)
 (另一个定义)

角色散 $D_\theta \equiv -\frac{d\theta}{d\lambda} = \frac{1}{\lambda \tan \theta}$ (波长大的谱线更靠近中心)

自由光谱范围 $\Delta \lambda_{fsr} \approx \frac{\lambda}{K} = \frac{\lambda^2}{2nh}$
 (标准具的量程) $\Delta f_{fsr} \approx \frac{c}{2nh}$
 free spectral range

$$\theta(\lambda, K) = \theta(\lambda + \Delta \lambda, K-1)$$

$$\Rightarrow \underline{K\lambda = 2nh \cos \theta} = \underline{(K-1)(\lambda + \Delta \lambda)}$$



分辨率本领的泰勒判据

$\Delta \theta < \Delta \theta_{\frac{1}{2}}$, 不可分辨;

$\Delta \theta = \Delta \theta_{\frac{1}{2}}$, 恰好能分辨;

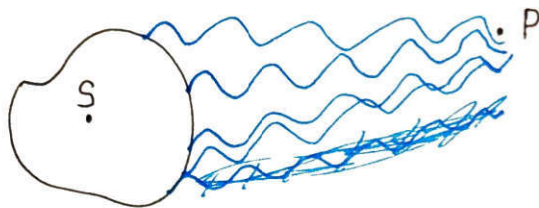
$\Delta \theta > \Delta \theta_{\frac{1}{2}}$, 可分辨

⇒ 最小可辨波长差

$$\delta \lambda = \frac{\lambda}{KF} = \frac{\lambda}{K} \cdot \frac{1-R}{\pi \sqrt{R}} = \frac{\lambda^2}{2nh} \cdot \frac{1-R}{\pi \sqrt{R}}$$

分辨率本领 $A \equiv \frac{\lambda}{\delta \lambda} = KF = K \frac{\pi \sqrt{R}}{1-R} = \frac{2nh}{\lambda} \cdot \frac{\pi \sqrt{R}}{1-R}$ (与 θ, δ 无关)

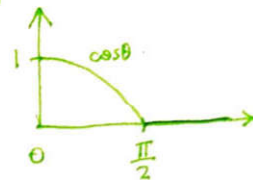
惠更斯-菲涅耳原理：次波振幅叠加



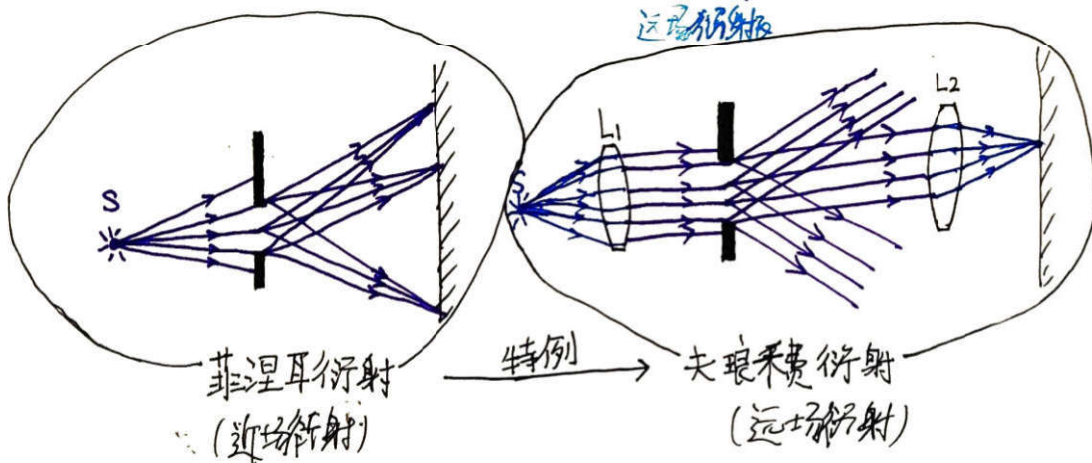
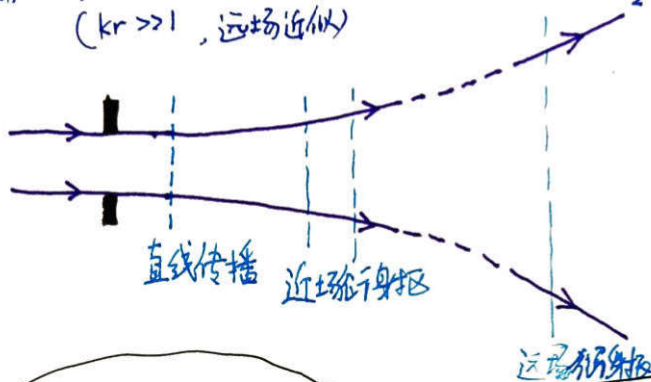
菲涅耳衍射积分公式

$$\tilde{E}(P) = C \cdot \iint_S (F(\theta) \cdot E(\theta) \cdot \frac{e^{ikr}}{r}) d\sigma$$

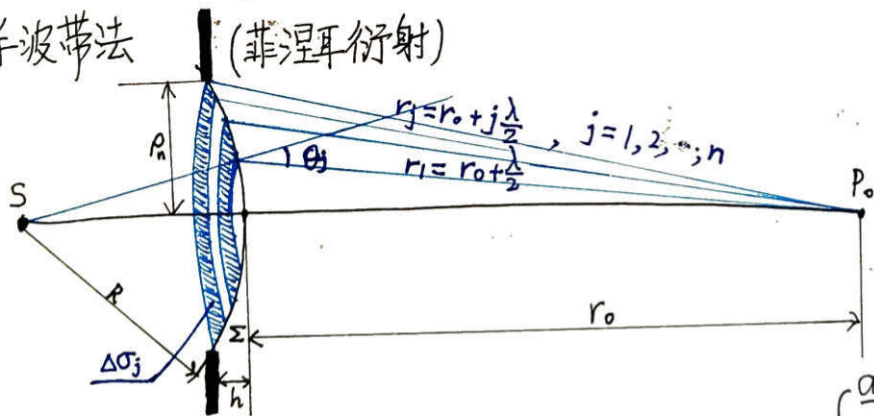
倾斜因子



菲涅耳-基尔霍夫衍射公式： $F(\theta) = \frac{\cos \theta_0 + \cos \theta}{2}$ ， $C = \frac{1}{i\lambda}$
($kr \gg 1$ ，远场近似)



半波带法 (菲涅耳衍射)



$$E(P_0) = \sum_{j=1}^n E_j(P_0) = \sum_{j=1}^n (-1)^{j+1} \cdot \frac{|E_j(P_0)|}{a_j}$$

$$a_j \propto (1 + \cos \theta_j) \cdot \frac{\Delta \sigma_j}{r_j} \propto \frac{\pi R \lambda}{R + r_0} (1 + \cos \theta_j)$$

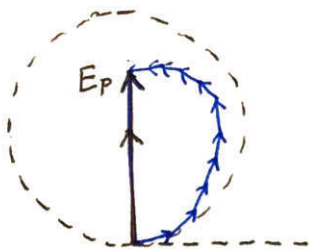
$$= \frac{\pi R \lambda}{R + r_0} \left(r_0 + j \frac{\lambda}{2} - \frac{\lambda}{4} \right)$$

$$= r_0 + j \frac{\lambda}{2} - \frac{\lambda}{4}$$

$$\begin{cases} \frac{a_1 + a_n}{2}, n \text{ 奇} \\ \frac{a_1 - a_n}{2}, n \text{ 偶} \end{cases} \xrightarrow{n \text{ 很大}} \begin{cases} a_1, n \text{ 奇} \\ 0, n \text{ 偶} \end{cases}$$

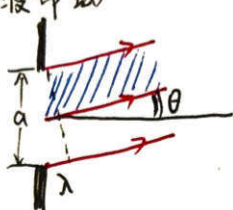
$$n = \frac{a^2 (R + r_0)}{\lambda r_0 R}$$

矢量图解法



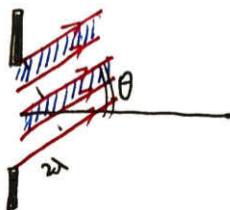
单缝夫琅禾费衍射

(半波带法)



K=1

1级暗纹

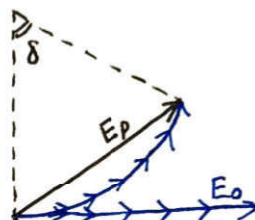


K=2

$a \sin \theta = K \lambda$, $K=0$ 时中心明纹 (稍偏)

$K=\pm 1, \pm 2, \dots$ 暗纹 (准确)

(矢量图解法)



$$I_p \propto E_p^2 \propto \left(\frac{\sin \alpha}{\alpha} \right)^2, \text{ 其中 } \alpha = \frac{\delta}{2} = \frac{\pi a \sin \theta}{\lambda}$$

$$I_p = I_0 \text{sinc}^2 \alpha$$

(积分法)

比例因子 $k = \frac{1}{i\lambda} = \frac{1}{\lambda} e^{-i\frac{\pi}{2}}$

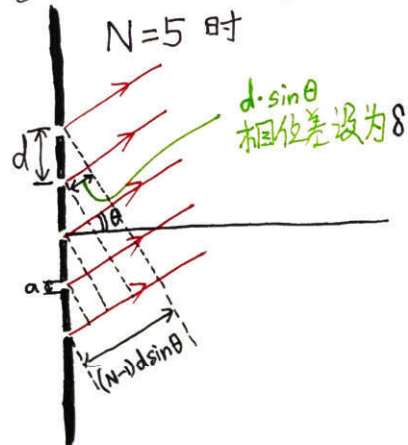
$$E_\theta = C_1 \int_{-\frac{a}{2}}^{\frac{a}{2}} e^{-ikx} dx = C_1 \int_{-\frac{a}{2}}^{\frac{a}{2}} e^{-ik \sin \theta \cdot x} dx = C_2 \cdot \frac{\sin \left(\frac{ka \sin \theta}{2} \right)}{\frac{ka \sin \theta}{2}}$$

$$\Rightarrow I_\theta \propto \text{sinc}^2 \left(\frac{ka \sin \theta}{2} \right) = \text{sinc}^2 \left(\frac{\pi a \sin \theta}{\lambda} \right)$$

求得极强位置为: $0, \pm 1.43 \frac{\lambda}{a}, \pm 2.46 \frac{\lambda}{a}, \pm 3.47 \frac{\lambda}{a}, \pm 4.48 \frac{\lambda}{a}$.
 $\sin \theta =$

多缝的夫琅禾费衍射

N=5 时



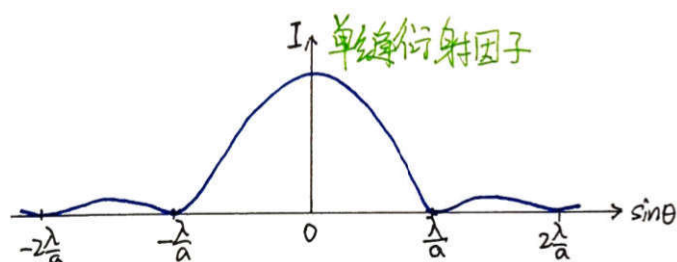
$$A_{N\theta} = \sum_{j=0}^{N-1} A_{1\theta} e^{i \cdot j \delta} = A_{1\theta} \frac{\sin \frac{N}{2} \delta}{\sin \frac{1}{2} \delta} e^{i(N-1)\delta/2} \quad (\text{等比数列})$$

$$A_{1\theta} = A_{10} \cdot \text{sinc} \left(\frac{\alpha \pi \cdot \sin \theta}{2\lambda} \right)$$

$$I_{N\theta} = I_{10} \cdot \boxed{\sin^2 \left(\frac{\alpha \pi \cdot \sin \theta}{2\lambda} \right)} \cdot \boxed{\left(\frac{\sin \frac{N \pi \cdot \sin \theta}{2\lambda}}{\sin \frac{\pi \cdot \sin \theta}{2\lambda}} \right)^2}$$

单缝衍射因子

多缝衍射因子

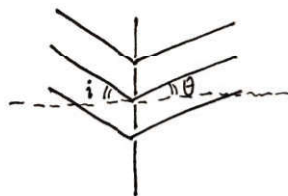


单缝衍射因子

透射光栅

★ 光栅方程: $d \cdot \sin \theta = K \lambda$ (K为衍射级数)

斜入射光栅方程:



$$d(\sin i + \sin \theta) = K \lambda$$

注: 可调整中心亮纹的方向、位置

角色散 $D_\theta \equiv \frac{d\theta}{d\lambda} = \frac{K}{d \cdot \cos \theta}$

线色散 $D_L \equiv \frac{dx}{d\lambda} = f' D_\theta$

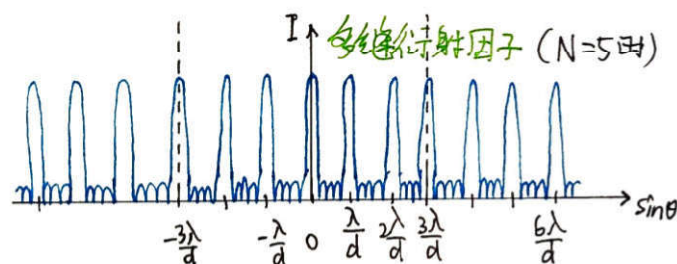
* 光栅的色分辨本领 (瑞利判据)

$$A \equiv \frac{\lambda}{\delta \lambda} = K \cdot N$$

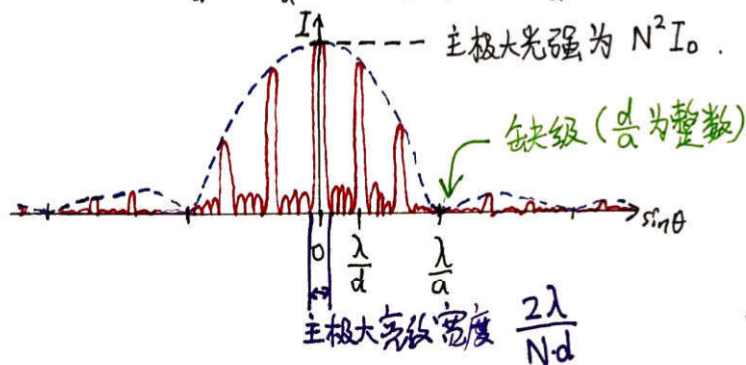
光谱的级数 $\uparrow$ $\uparrow$ 缝数

* 自由光谱范围

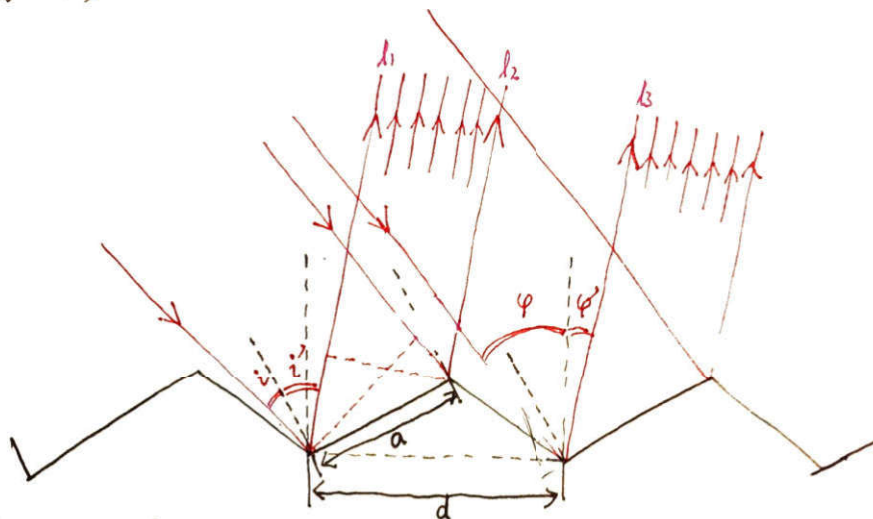
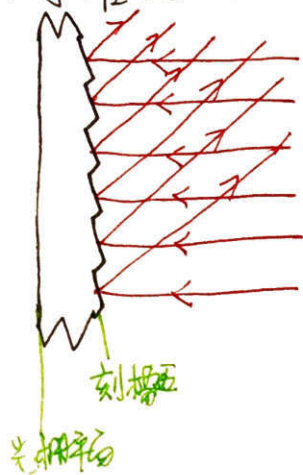
$$\Delta \lambda = \frac{\lambda}{K}$$



多缝衍射因子 (N=5 时)

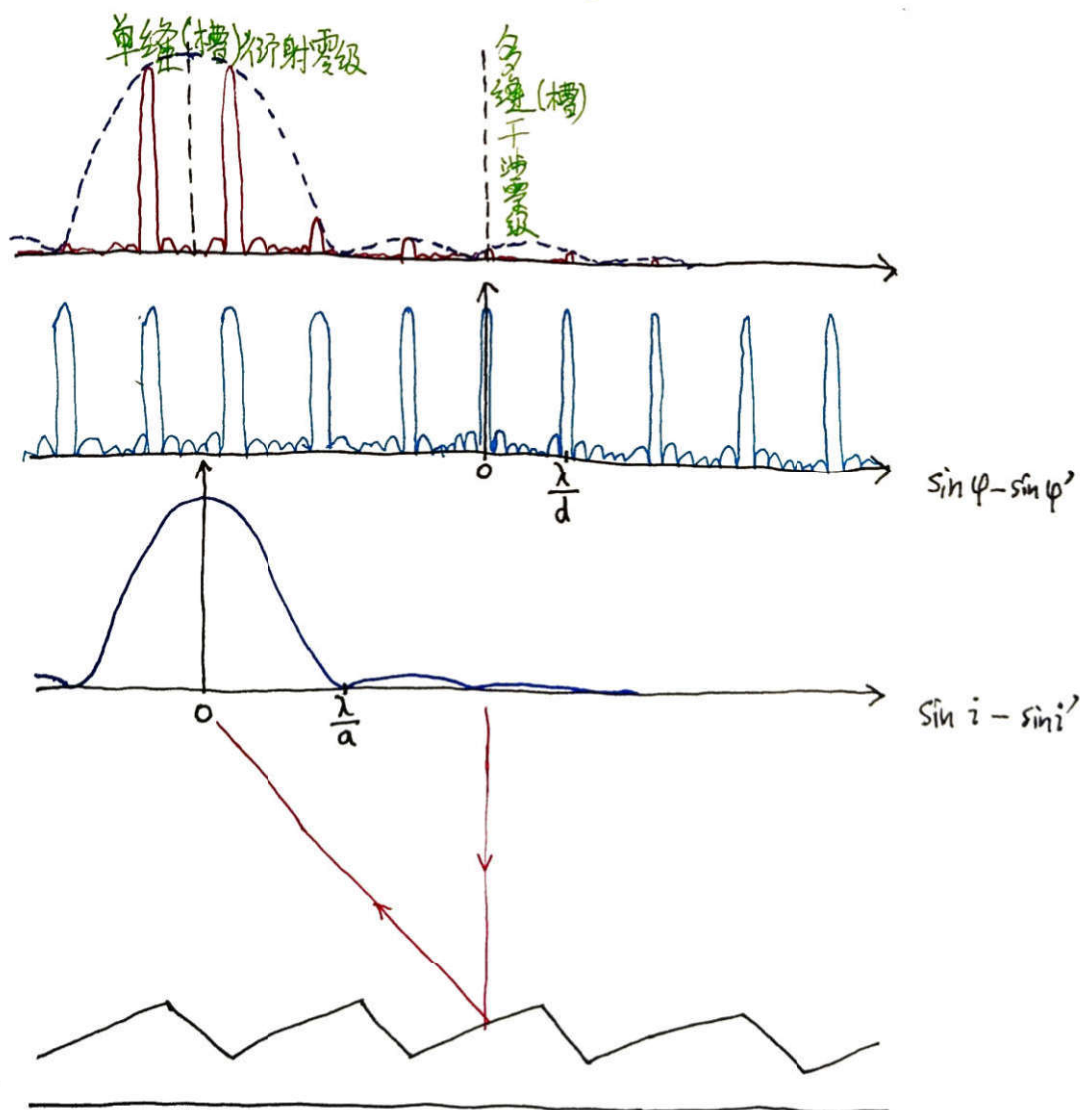


闪耀光栅 (反射定向光栅)

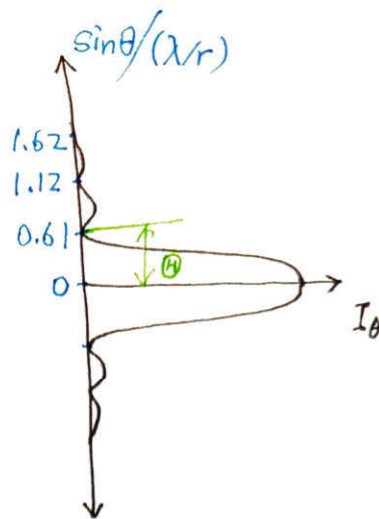
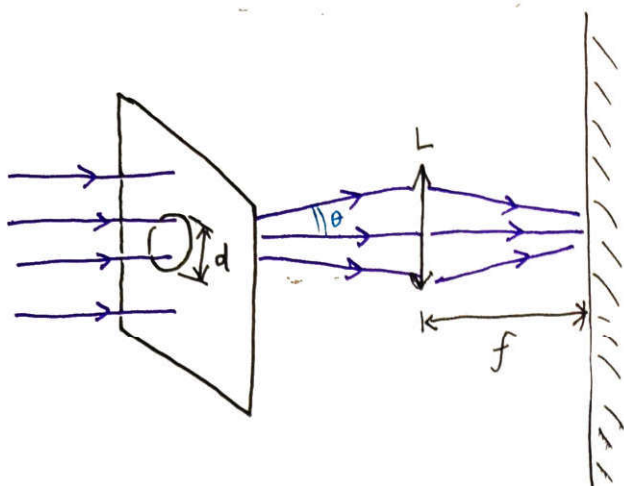


d_1 与 d_2 光程差 $\Delta = a(\sin i - \sin i')$ 相位差 $\delta = \frac{2\pi a}{\lambda} (\sin i - \sin i')$
 d_1 与 d_3 光程差 $\Delta' = d(\sin \varphi - \sin i')$ 相位差 $\delta' = \frac{2\pi d}{\lambda} (\sin \varphi - \sin i')$

光强分布 $I = I_0 \left[\frac{\sin \frac{\delta}{2}}{\frac{\delta}{2}} \right]^2 \left[\frac{\sin N \frac{\delta'}{2}}{\frac{\delta'}{2}} \right]^2$
 单槽衍射因子 缝间干涉因子

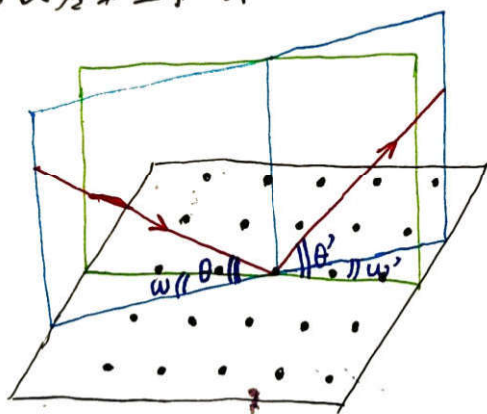


圆孔夫琅禾费衍射



中心亮斑 (艾里斑) 角半径 $\theta = \frac{0.61\lambda}{r} = \frac{1.22\lambda}{d}$

平面晶格的零级主极强

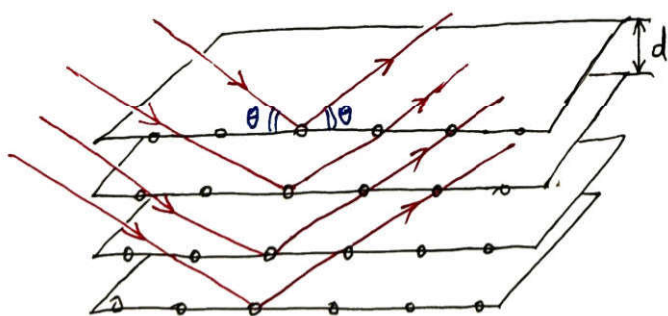


零级主极强的条件: (镜面反射线方向)

① $\theta' = \theta$
 衍射角 反射角

② $\lambda' = \lambda$

面间干涉的零级主极强的条件: 布拉格条件

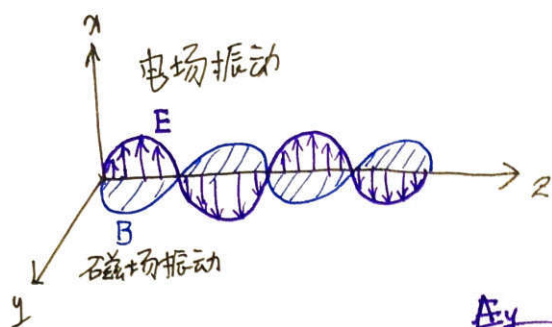


布拉格公式:

$$2d \sin \theta = k\lambda,$$

其中 k 为任意正整数

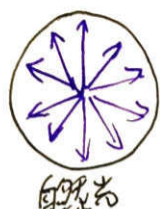
注: 一个晶体内有許多晶面族



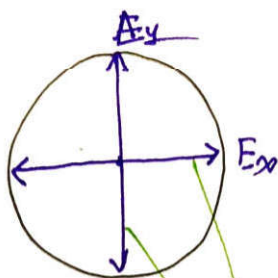
通常只考虑 $\vec{E}$ 的振动
(通常以 $\vec{E}$ 代表光振动矢量)

横波有偏振现象, 纵波无.

光 $\begin{cases} \text{自然光} \\ \text{部分偏振光} \\ \text{偏振光} \begin{cases} \text{线偏} \\ \text{椭圆偏} \\ \text{圆偏} \end{cases} \end{cases}$



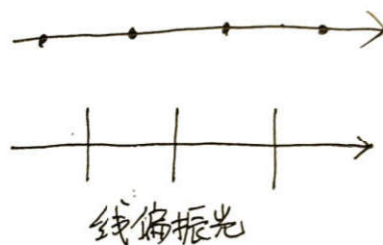
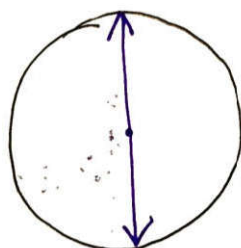
分解



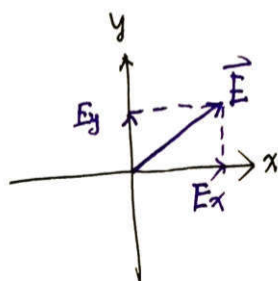
等强度, 不相干.



自然光



线偏振光



$$\begin{cases} E_x = E_{x0} \cdot \cos(\omega t) \\ E_y = E_{y0} \cdot \cos(\omega t - \varphi) \end{cases}$$

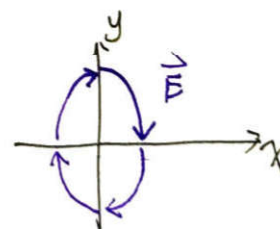
① $\varphi = k\pi$ 时, 线偏振光

② $\varphi = (2k + \frac{1}{2})\pi$ 时, (顺时针) 右旋椭圆偏光

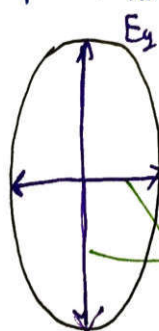
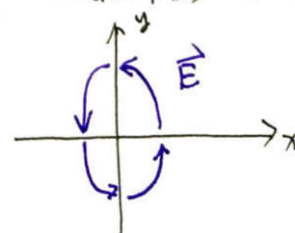
③ $\varphi = (2k - \frac{1}{2})\pi$ 时, 逆左旋椭圆偏振光

④ $\varphi = \text{其它值}$, 椭圆偏振光

特例: $E_{x0} = E_{y0}$ 时, 椭圆偏光 $\rightarrow$ 圆偏振光



(迎着光来看) (接收者视角)



部分偏振光

不相干
不等强度



偏振度

$$P \equiv \frac{I_p}{I_t} = \frac{I_p}{I_n + I_p}$$

Δ Δ Δ
 总光强 自然光强度 偏振光强度

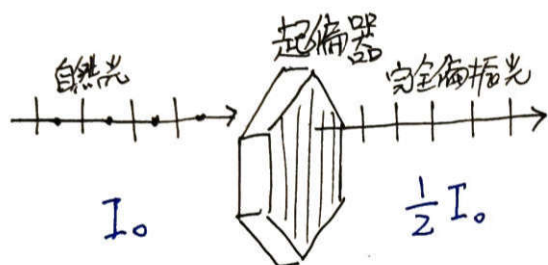
$$= \frac{I_{\max} - I_{\min}}{I_{\max} + I_{\min}}$$

完全偏振光 $P=1$

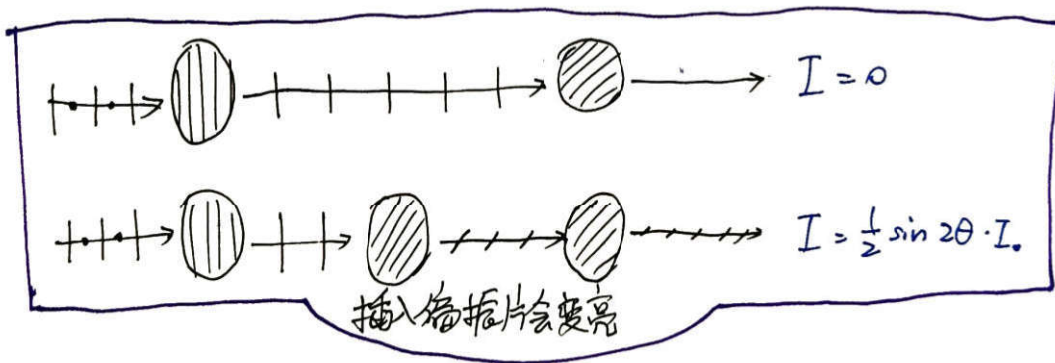
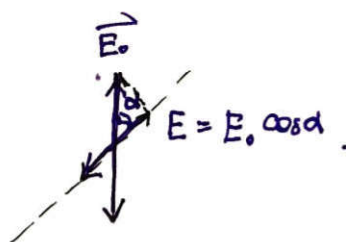
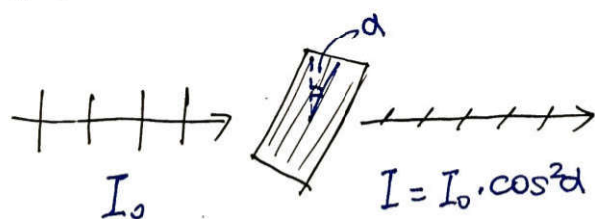
线偏振光、(椭)圆偏振光

部分偏振光 $0 < P < 1$

自然光 $P=0$



马吕斯定律



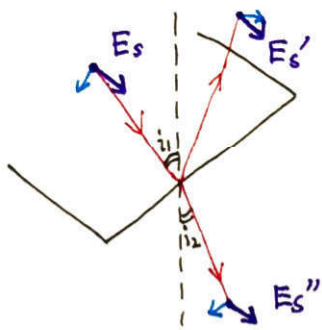
折反射中振幅的边界条件 (含符号, 即复振幅)

$$E_{1t} = E_{2t}$$

$$H_{1t} = H_{2t}$$

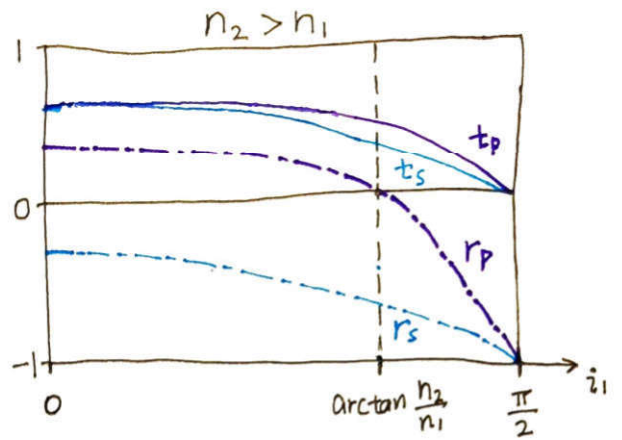
(切向分量连续)

① 光矢垂直入射面

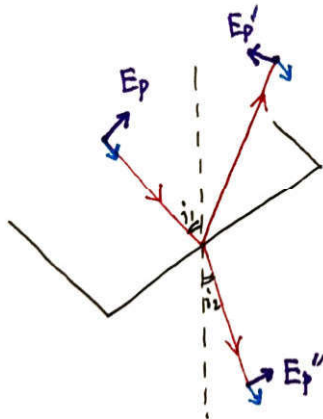


$$r_s \equiv \frac{E_{s'}}{E_s} = \frac{-\sin(i_1 - i_2)}{\sin(i_1 + i_2)}$$

$$t_s \equiv \frac{E_{s''}}{E_s} = \frac{2 \cos i_1 \sin i_2}{\sin(i_1 + i_2)}$$

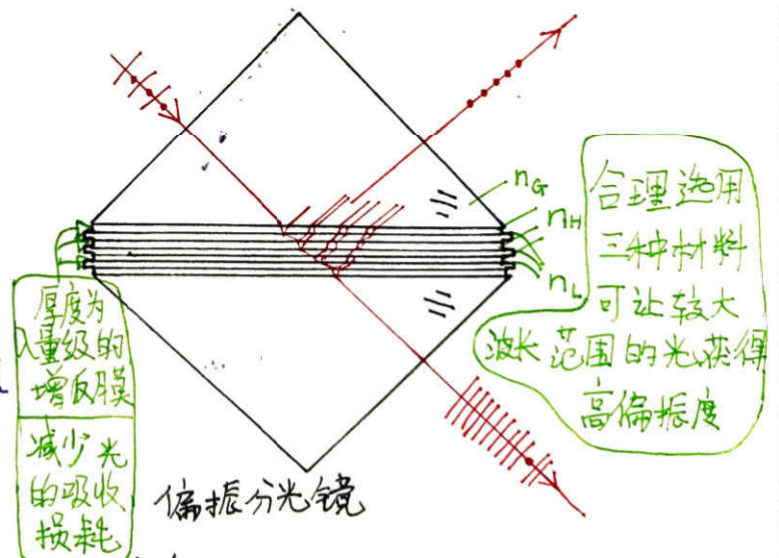
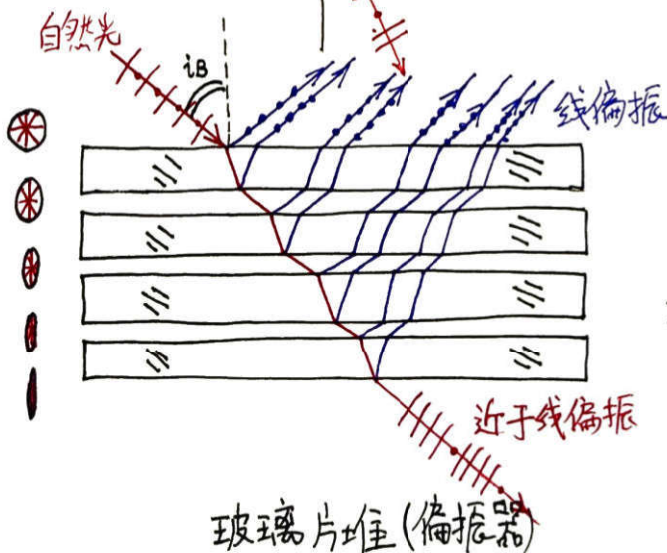
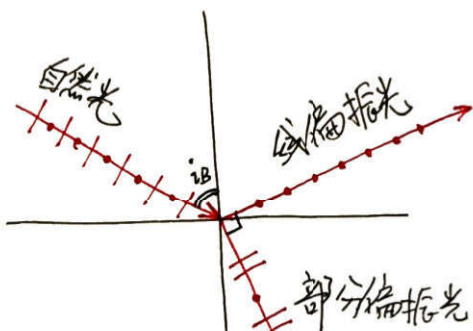
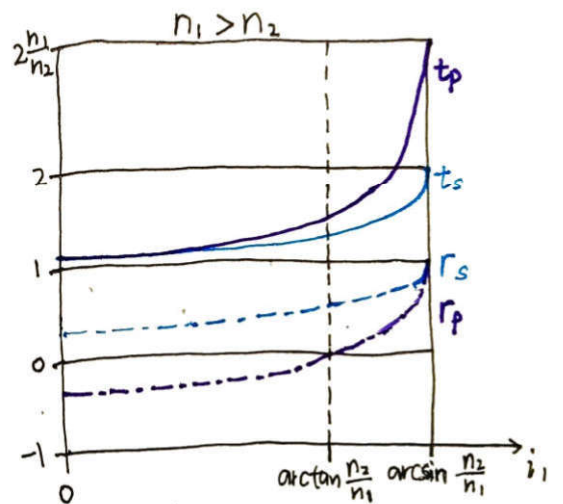


② 光矢平行入射面



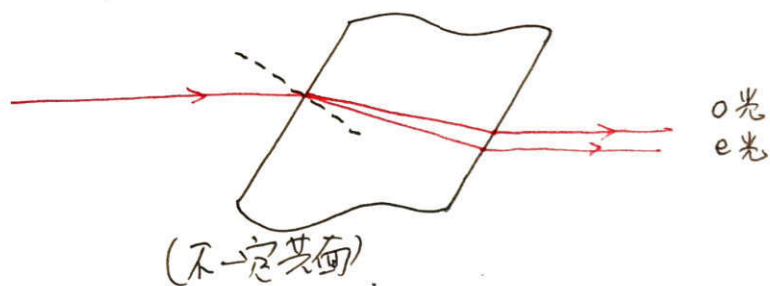
$$r_p \equiv \frac{E_{p'}}{E_p} = \frac{\tan(i_1 - i_2)}{\tan(i_1 + i_2)}$$

$$t_p \equiv \frac{E_{p''}}{E_p} = \frac{2 \cos i_1 \sin i_2}{\sin(i_1 + i_2) \cos(i_1 - i_2)}$$



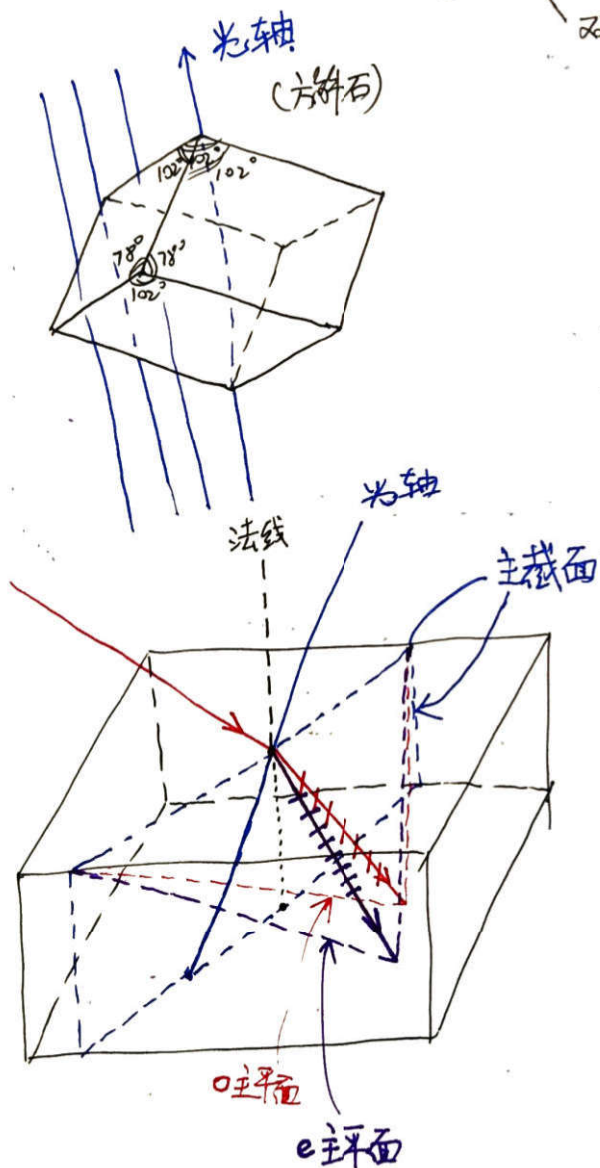
较彻底解决了偏振度与能流利用率的矛盾

双折射



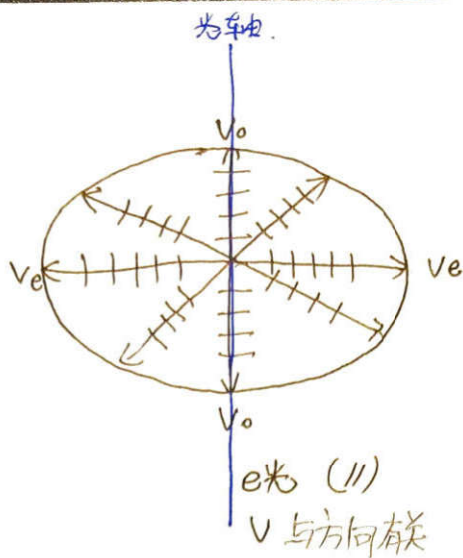
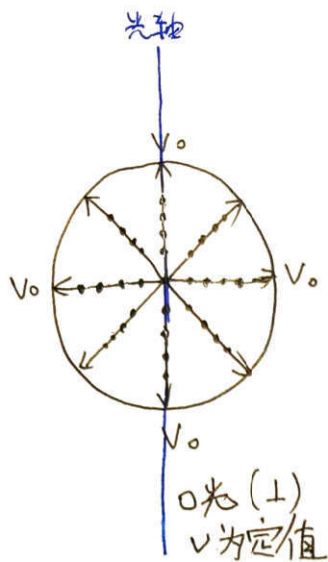
晶体的光轴

晶体 { 单轴晶体
双轴晶体



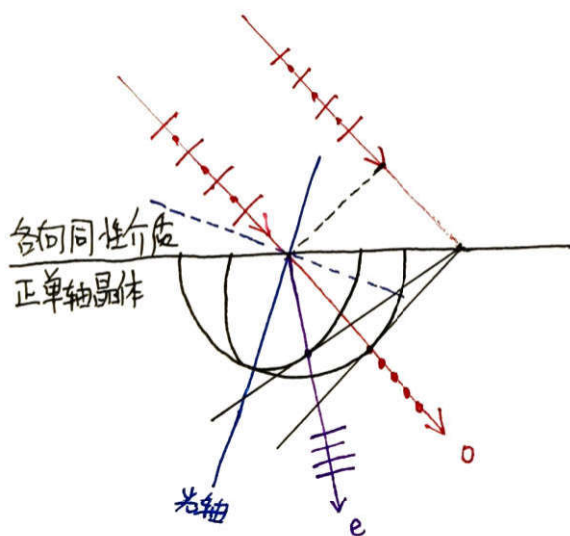
o光: 振动方向与o主平面垂直

e光: 振动方向与e主平面平行

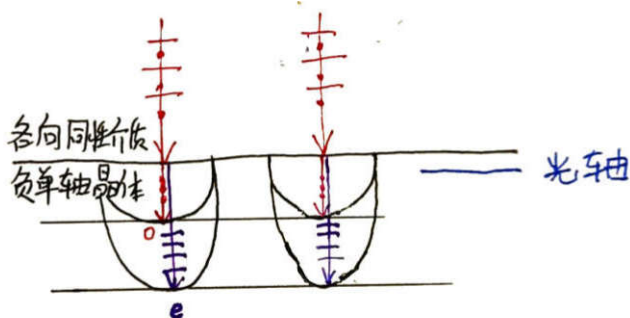


正晶体: $n_e > n_o$, ($v_e < v_o$) e 为长椭圆, o 在外, 
 负晶体: $n_e < n_o$, ($v_e > v_o$) e 为圆椭圆, o 在内, 
 ↑ 主折射率

惠更斯作图法



(方向不同, 速度不同)



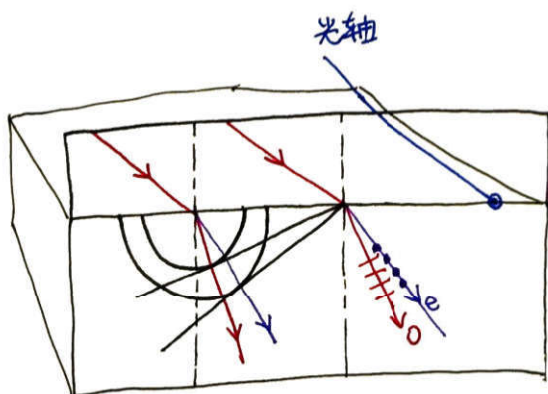
光轴平行入射面时, o 圆 e 椭圆 (光轴方向)

光轴垂直入射面时, o 圆 e 圆 (不等大)

注: 此时 o 光与 e 光都满足 Snell 定律

$$\begin{cases} \frac{\sin i}{\sin r_o} = n_o = \frac{c}{v_o} \\ \frac{\sin i}{\sin r_e} = n_e = \frac{c}{v_e} \end{cases}$$

光线在介质中沿光轴方向传播时, 无双折射, 不同于入射方向.

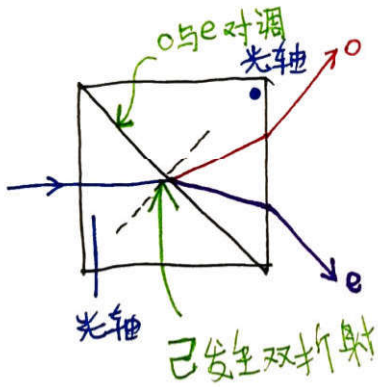
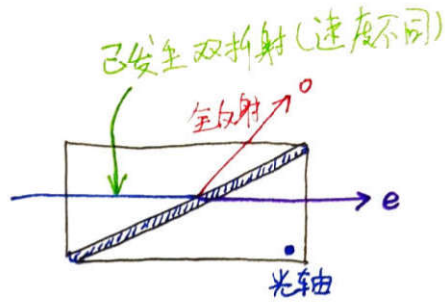
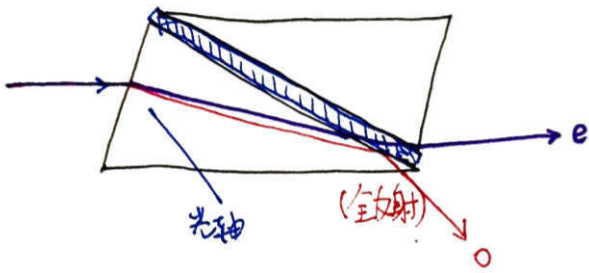


1) 晶体 = 向色性. (偏振片)

如电晶石对 o 光吸收大, 对 e 光吸收小, 可作为偏振片.

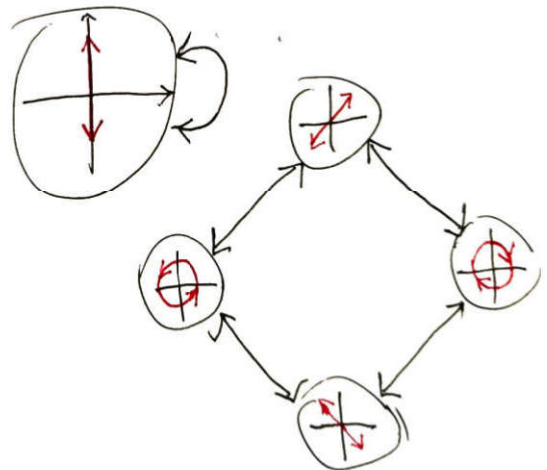
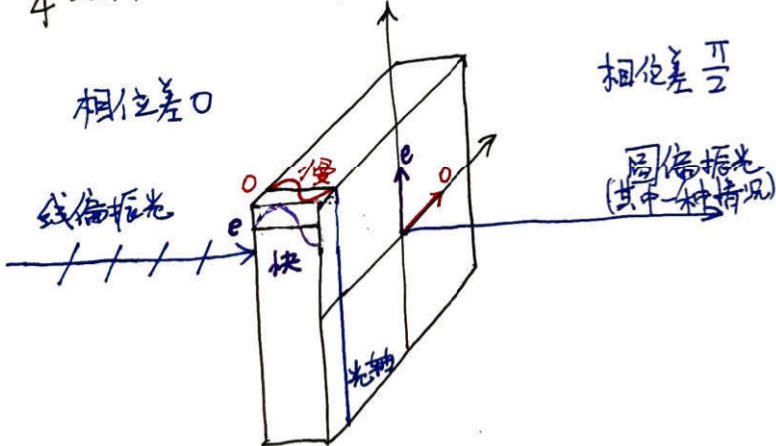
2) 偏振棱镜

- ① 将 e 与 o 分开
- ② 偏振度高的单束线偏振光



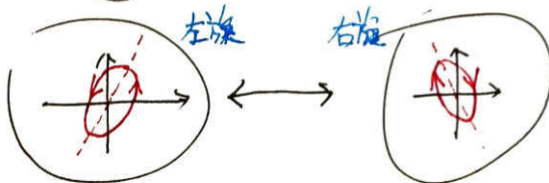
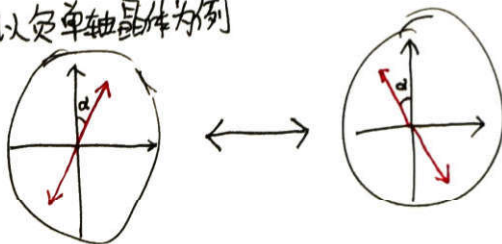
$\frac{1}{4}$ 波片.

线偏振 $\longleftrightarrow$ 正椭圆 / 圆偏振 (其中一种情况)

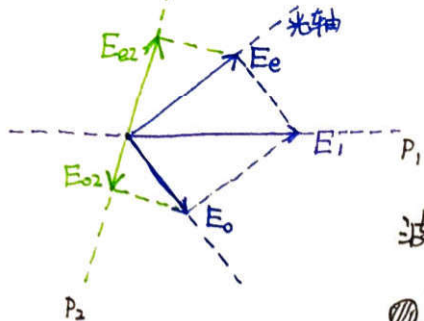
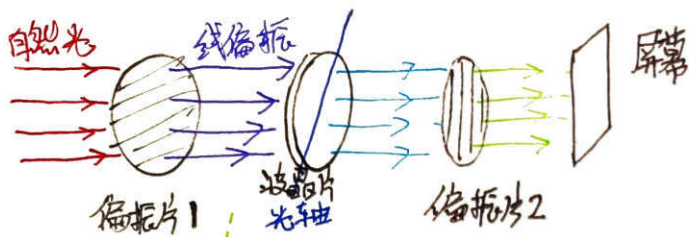


以负单轴晶体为例

$\frac{1}{2}$ 波片



● 平行偏振光的干涉



$\tilde{E}_2 = \tilde{E}_{e2} + \tilde{E}_{o2}$, $\tilde{E}_{e2}$ 与 $\tilde{E}_{o2}$ 的相位差 $\delta = \frac{2\pi d}{\lambda}(n_o - n_e)$

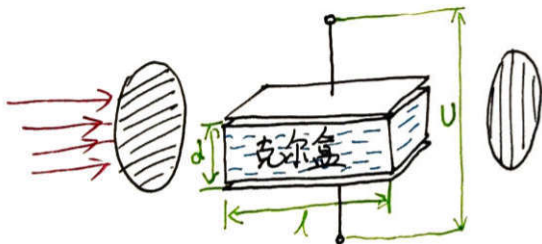
波晶片厚度 d

波晶片：尖劈型 $\rightarrow$ 等厚干涉

● 光测弹性术

电光效应 $\left\{ \begin{array}{l} \text{克尔效应} \\ \text{泡克耳斯效应} \end{array} \right.$

克尔效应：某些各向同性介质外加电场后具有单轴晶体的特性。



$n_o - n_e = B \cdot \lambda \cdot E^2$

B 为常量 $\uparrow$ E 为电场强度

注：大多数情况 $n_o - n_e > 0$ 。

B 与材质、 λ 、温度等有关。

$\tilde{E}_o$ 与 $\tilde{E}_e$ 的相位差： $\delta = \frac{2\pi l}{\lambda}(n_o - n_e) \propto U^2$

● 旋光性

线偏振光 $\xrightarrow[\text{(非退极)}]{\text{分解}}$ $\left\{ \begin{array}{l} \text{左旋圆偏, 有 } n_L \\ \text{右旋圆偏, 有 } n_R \end{array} \right. \parallel \left\{ \begin{array}{l} \text{左旋晶体 } n_R > n_L, \text{ 线偏振方向 } V_L > V_R, \text{ 逆时针转;} \\ \text{右旋晶体 } n_L > n_R, \text{ 顺时针转. } V_R > V_L \text{ (接收者)} \end{array} \right.$

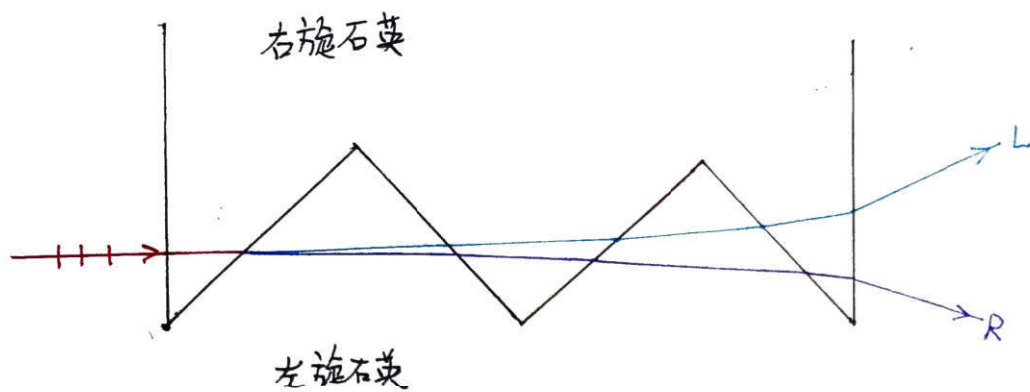
线偏振旋转角 $\varphi = \alpha \cdot l$ (顺时针转)

注：射出光仍为线偏振光。

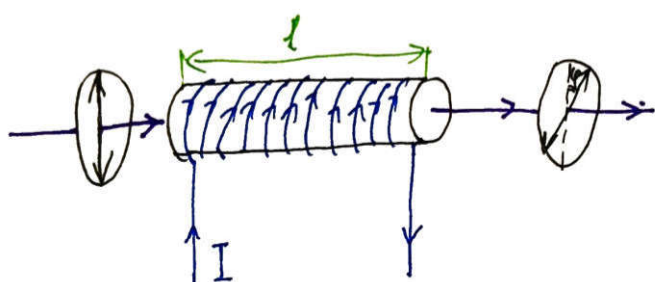
α 旋光率 (与材料、波长等有关) $\left\{ \begin{array}{l} \alpha < 0 \text{ 左旋 (不是左旋光)} \\ \alpha > 0 \text{ 右旋} \end{array} \right.$

$\varphi = [\alpha] \cdot c \cdot l$

溶液的比旋光率 $\uparrow$ $\uparrow$ 溶液浓度



磁致旋光性 (法拉第效应).



韦尔代常量

$$\varphi = V \cdot B \cdot l$$

磁感应强度

$\begin{cases} V < 0 & \text{左旋 (逆时针) 负旋体} \\ V > 0 & \text{右旋 (不是右旋光) 正旋体, 仍是线偏振光.} \end{cases}$

光的吸收 (一般光源)

朗伯定律 $I = I_0 e^{-\alpha x}$

α : 吸收系数

x : 介质厚度

比尔定律 $I = I_0 e^{-\beta c l}$

c : 透明介质中溶剂的浓度

βc : 吸收系数

注: 稀溶液, 即介质的吸收本领不受周围介质的影响.

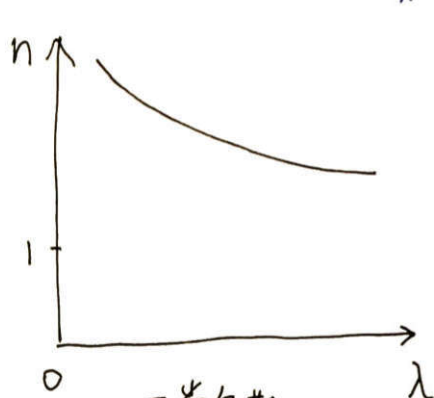
复数相对介电常数 若 ϵ_r 为实数, 则 $n = \sqrt{\epsilon_r}$.

$E(x, t) = E_0 \exp\{i(kx - \omega t)\} = E_0 \exp\{-i\omega(t - \frac{x\sqrt{\epsilon_r}}{c})\}$ 变为复数

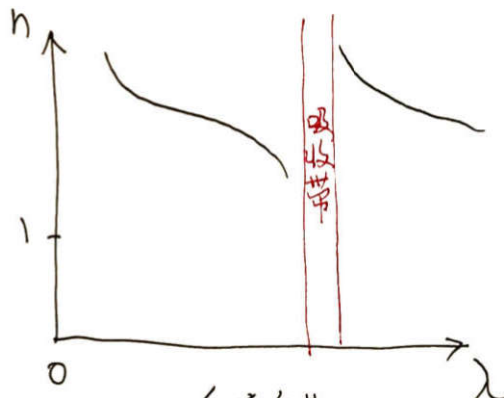
复数折射率. 令 $\sqrt{\epsilon_r} = \tilde{n} = n + in'$

$E = E_0 \exp\{i\omega[t - (n + in')\frac{x}{c}]\} = E_0 e^{-\frac{\omega n' x}{c}} \exp\{-i\omega(t - n\frac{x}{c})\}$
 $\Rightarrow \alpha = \frac{2\omega n'}{c} = \frac{4\pi n'}{\lambda}$

柯西方程 $n^2 = A + \frac{B}{\lambda^2} + \frac{C}{\lambda^4} + \dots$

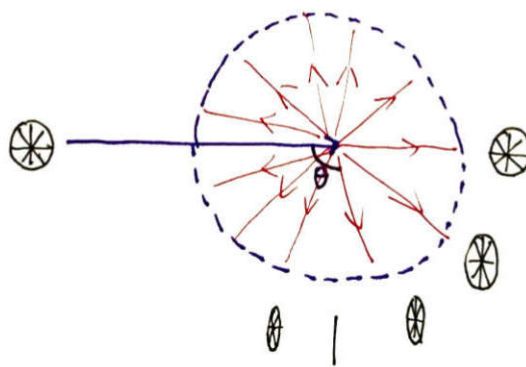
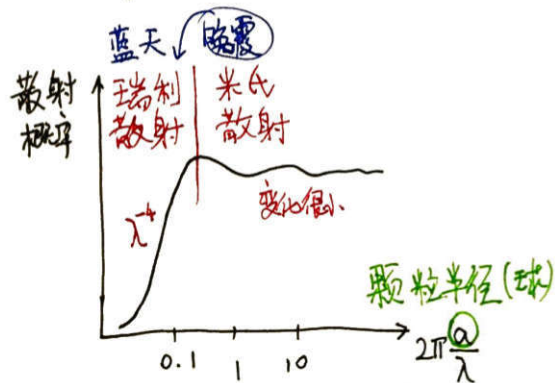


正常色散
(吸收很少的波段)



反常色散
(吸收很强的波段)

光的散射



$I = I_0 (1 + \cos^2 \theta)$

为什么是蓝色的?
拉曼散射 & 布里渊散射

除 ω_0 散射, 还有 $\omega_0 \pm \omega_1, \omega_0 \pm \omega_2, \dots$ 等存在

热辐射体的辐通量 (辐射功率, 单位 W)

光谱辐出度 $r_e(\lambda, T) = \frac{d^2 \Phi(\lambda, T)}{dS d\lambda}$ 单位时间 单位表面积, 单位波长
辐出度

$$R_e(T) = \int_0^\infty r_e(\lambda, T) d\lambda$$

绝对黑体辐出度 $R_o(T) = \int_0^\infty r_o(\lambda, T) d\lambda$

吸收本领 $a(\lambda, T) = \frac{\text{吸收辐通量}}{\lambda \text{ 射辐通量}}$ 绝对黑体 $a=1$

斯特藩-玻耳兹曼定律

$$R_o(T) = \sigma T^4$$

维恩位移定律

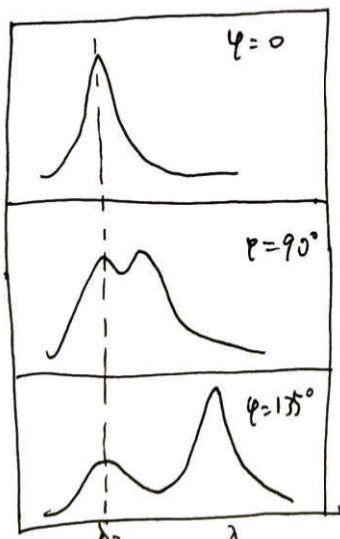
$$\lambda_m = \frac{b}{T}$$

黑体辐射谱曲线极大点

--- 峰值定律

$$r_o(\lambda_m, T) = c' T^5$$

康普顿效应 (康普顿散射)



康普顿散射的特点.

1. 除 λ_0 外有长波新波长 λ .

2. $\varphi \uparrow, \lambda \uparrow$ ($\lambda - \lambda_0 = \lambda_c (1 - \cos \varphi)$)

散射角

$$\text{康普顿波长 } \lambda_c = \frac{h}{m_0 c} = 2.43 \times 10^{-3} \text{ nm}$$

3. $\varphi \uparrow$, 新波长谱线强度升高

只有 $\lambda_0 \sim \lambda_c$ 时 康普顿效应才显著 (硬 X 射线).

定性分析.

X 射线光子与 电子碰撞.

弹性碰撞

光子把部分能量
传给电子

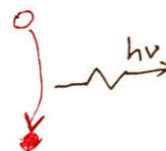
若吸收光子, 无法同时满足
能量守恒与动量守恒

激光

自发发射

$$-\left(\frac{dN_2}{dt}\right)_{sp} = A_{21} N_2$$

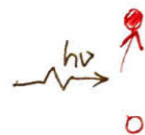
↑ 自发发射系数



受激吸收

$$\left(\frac{dN_2}{dt}\right)_a = B_{12} N_1 \cdot w(\nu, T)$$

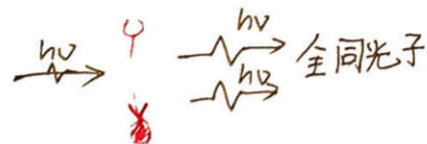
↑ 受激吸收系数



受激发射

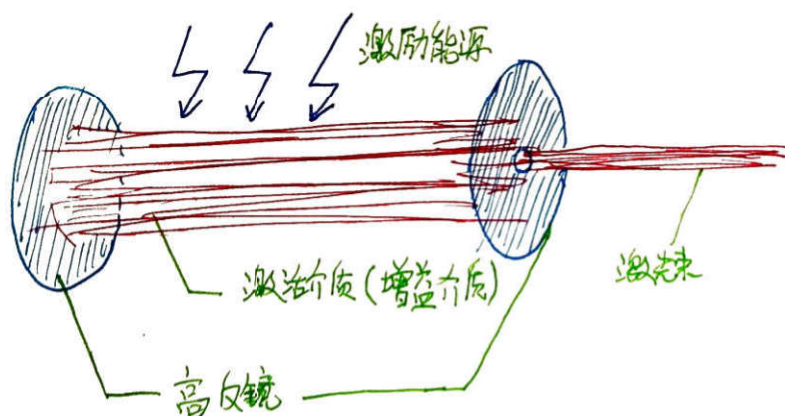
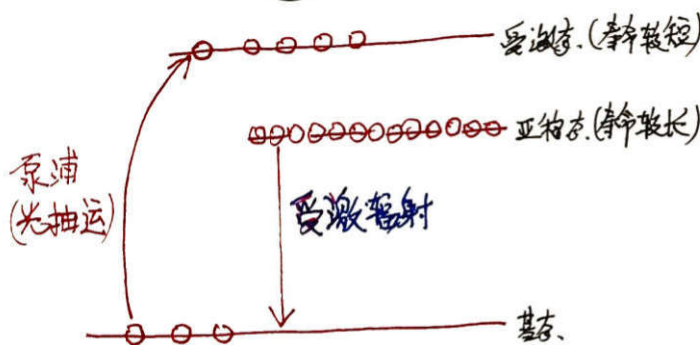
$$-\left(\frac{dN_2}{dt}\right)_{st} = B_{21} N_2 \cdot w(\nu, T)$$

↑ 受激发射系数



光放大的条件: 粒子数反转 $N_2 > N_1$

- ① 需要至少 3 能级的系统 产生
- ② 泵浦源 维持
- ③ 光学谐振腔 (方向性、单色性、相干性)



$$I = I_0 e^{Gx}$$

↑ 增益系数, 与 I, ν 有关

$$G \geq \frac{1}{2L} \ln \frac{1}{R_1 R_2} = G_m \quad \text{阈值条件}$$

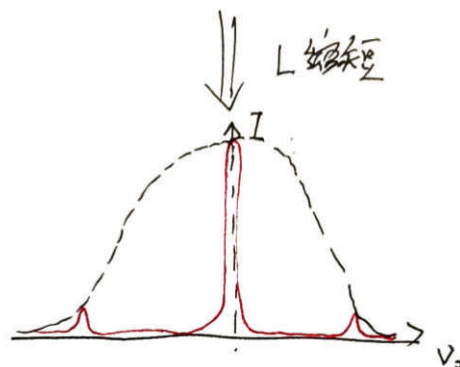
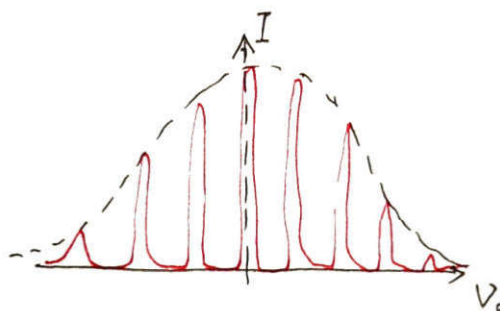
↑ 反射率 (光强)

激光的单色性：多光束干涉

$$2nL = k\lambda_0, k \in \mathbb{Z}^+$$

$$\Rightarrow \nu_0 = \frac{kc}{2nL}$$

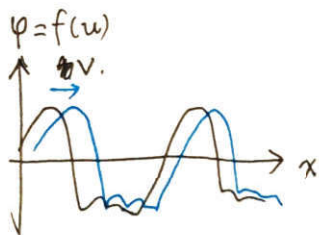
纵模间隔



Part 2

同步课程

叶贤基 《波动光学》



波形不变, 波平移
注: 不限于正弦波

波动方程

$$\frac{\partial^2 \varphi}{\partial x^2} = \frac{1}{v^2} \frac{\partial^2 \varphi}{\partial t^2}$$

三维波动方程

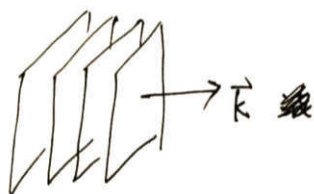
$$\nabla^2 \varphi = \frac{1}{v^2} \frac{\partial^2 \varphi}{\partial t^2}$$

Phase $\varphi = kx + \omega t + \varphi_0$

Phase Velocity $\left(\frac{\partial x}{\partial t}\right)_\varphi = \pm \frac{\omega}{k} = \pm v$

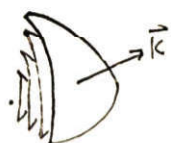
波前 相位相同的点集合

平面波



$$\psi(\vec{r}, t) = A e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

球面波



$$\psi(\vec{r}, t) = \frac{A}{r} e^{i(\vec{k} \cdot \vec{r} - \omega t)}$$

E-M Wave Equations

$$\nabla^2 \vec{B} - \epsilon_0 \mu_0 \frac{\partial^2 \vec{B}}{\partial t^2} = 0$$

$$\nabla^2 \vec{E} - \epsilon_0 \mu_0 \frac{\partial^2 \vec{E}}{\partial t^2} = 0$$

Poynting vector (Energy flux) $= \underline{u} c$

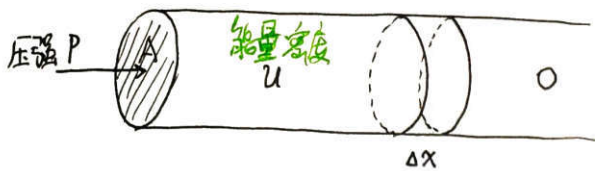
$$\vec{S} = \frac{1}{\mu_0} \vec{E} \times \vec{B} = \epsilon_0 c^2 \vec{E} \times \vec{B}$$

定义: $\vec{S} \equiv \vec{E} \times \vec{H}$

E-M wave 频率太高时, 只能测平均功率

$$\text{即 } I = \frac{1}{2} c \epsilon_0 E^2$$

$$u(A\Delta x) = PA\Delta x \quad \text{压强 } P \approx \frac{\text{能量密度 } u}{c}$$



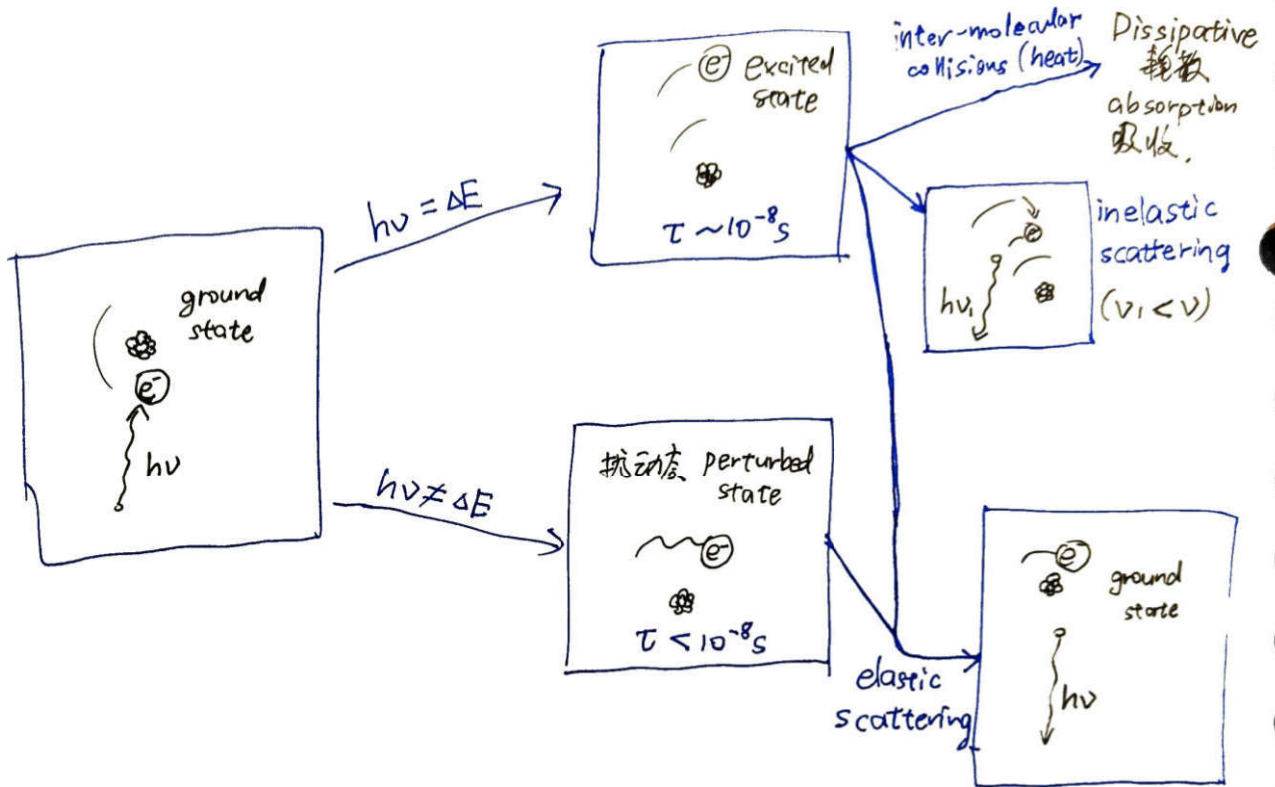
light pressure $P = \frac{S}{c}$ (全吸收)

$P = \frac{2S}{c}$ (全反射)

$$E^2 = \underbrace{p^2 c^2}_{\text{动量}} + \underbrace{m_0^2 c^4}_{\text{静止能量}} = m^2 c^4$$

⇒ 光子:

$$E = pc = h\nu$$



叠加

$$\begin{cases} \tilde{E}_1 = E_0 e^{i(k_1 x - \omega_1 t)} \\ \tilde{E}_2 = E_0 e^{i(k_2 x - \omega_2 t)} \end{cases}$$

设

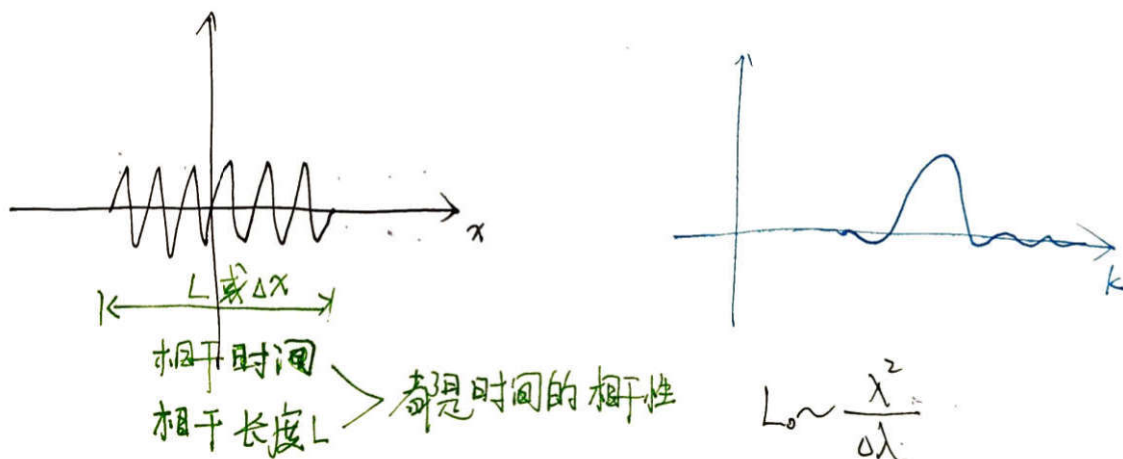
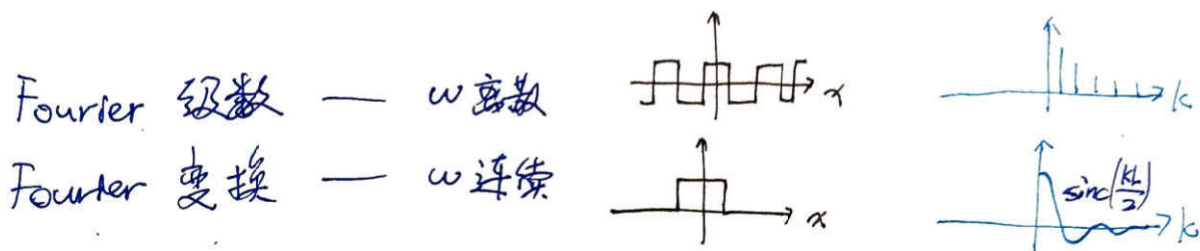
$$\begin{cases} \bar{k} = \frac{k_1 + k_2}{2}, & k_m = \frac{k_1 - k_2}{2} \\ \bar{\omega} = \frac{\omega_1 + \omega_2}{2}, & \omega_m = \frac{\omega_1 - \omega_2}{2} \end{cases}$$

调制波数, 调制频率

拍

$$\tilde{E} = \tilde{E}_1 + \tilde{E}_2 = E_0 \frac{e^{i(\bar{k}x - \bar{\omega}t)}}{2} \left[e^{ik_m x} e^{-i\omega_m t} + e^{-ik_m x} e^{i\omega_m t} \right]$$

群速度 $v = \bar{\omega} / \bar{k}$



相干: 相位差要稳定

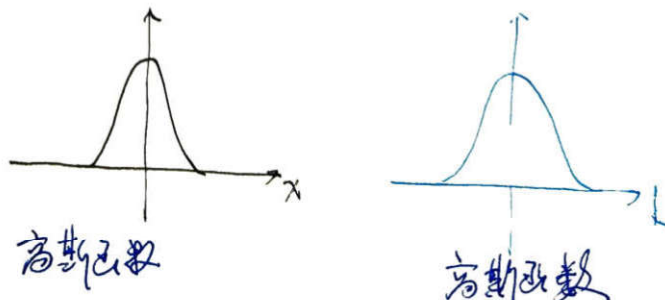
注: 当相位差为 2π (我们认为可分辨的上限)

即 $\Delta k \cdot \Delta x = 2\pi$ (4π)

相干长度

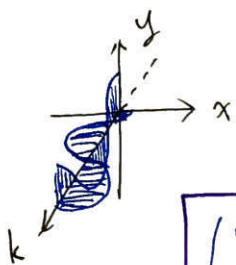
亦可写作 $\Delta \omega \cdot \Delta t = 2\pi$ (4π)

相干时间



$$f(x) = \exp\left\{-\frac{x^2}{a^2}\right\} \iff f(k) = \frac{a}{2\pi} \exp\left\{-\frac{a^2 k^2}{4}\right\}$$

偏振 Polarization



$$E_x = E_{x0} \cos \theta, \quad \theta = kx - \omega t$$

$$E_y = E_{y0} \cos(\theta + \varepsilon)$$

$$\left(\frac{E_x}{E_{x0}}\right)^2 + \left(\frac{E_y}{E_{y0}}\right)^2 - 2\left(\frac{E_x}{E_{x0}}\right)\left(\frac{E_y}{E_{y0}}\right)\cos\varepsilon = \sin^2\varepsilon$$

偏振态 State of Polarization

P : Plane- or linear-polarized.

★ R : Right-circular-polarized.

★ L : Left-circular-polarized.

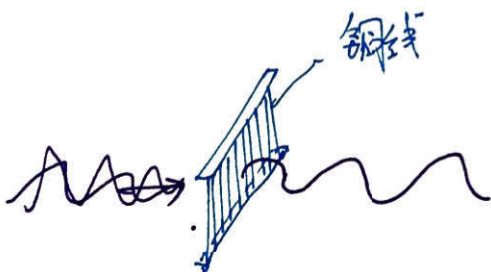
E : Elliptical-polarized.

$$\begin{cases} R = \cos\theta \hat{i} + \sin\theta \hat{j} \\ L = \cos\theta \hat{i} - \sin\theta \hat{j} \end{cases}$$

$$\begin{cases} P = R \pm L \\ E = aR \pm bL \end{cases}$$

随机偏振光 (v) 非偏振光 (x)

Wire-Grid Polarizer.



穿过电场垂直于铜线

Dichroism: 吸收率与偏振方向有关
(二向色性)

Birefringence 双折射

$$\Delta n = n_e - n_o = \frac{c}{v_{\parallel}} - \frac{c}{v_{\perp}}$$

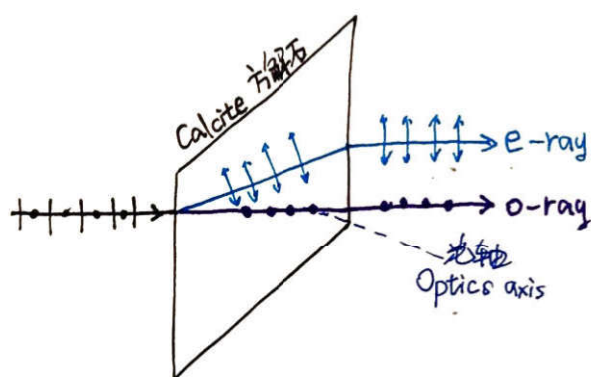
$\Delta n < 0$ Negative single-axis crystal
Opt. axis = fast axis
 $\Delta n > 0$ Positive
Opt. axis = slow axis

$$\begin{aligned} \nabla \times \vec{E} &= -\frac{\partial \vec{B}}{\partial t} \\ \nabla \times \vec{B} &= \mu_0 \vec{J} + \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t} \\ \nabla \sim i\vec{k} \\ \vec{D} &= \epsilon \vec{E}, \epsilon \text{ 为张量} \end{aligned} \Rightarrow \vec{D} = \frac{k^2 \mu}{\omega^2} \vec{E}_{kl} \Rightarrow \vec{D} \perp \vec{k}$$

$\vec{E}$ 在垂直于 $\vec{k}$ 方向上的分量

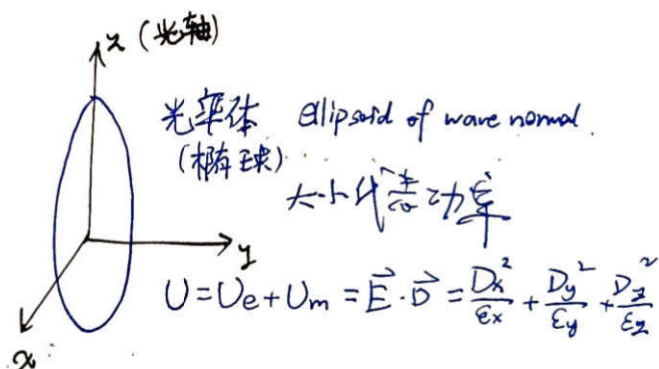
偏振方向:

o 光 $\perp$ 光轴, e 光不一定 $\parallel$ 光轴



光轴: $\vec{D} = \epsilon \vec{E}$ 中,

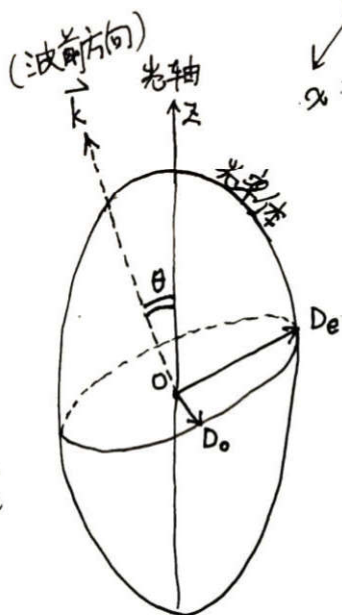
with ϵ is diagonalized.
对角化的



推导步骤

① $\vec{D} \perp \vec{k}$
定出 $\vec{D}_o$ 与 $\vec{D}_e$
($\vec{D}_e \perp \vec{D}_o$)

② 找出 $\vec{E}_o$ 与 $\vec{S}_e \equiv \vec{E}_e \times \vec{H}_e$
($\vec{H}$ 方向不变)



$$\begin{aligned} \vec{D}_o &= \epsilon_x E_x \hat{i} + \epsilon_y E_y \hat{j} + \epsilon_z E_z \hat{k} \\ \vec{D}_e &= \epsilon_x E_x \hat{i} + \epsilon_y E_y \hat{j} + \epsilon_z E_z \hat{k} \end{aligned} \Rightarrow \begin{cases} \vec{D}_o = \epsilon_o E_x \hat{i} \\ \vec{D}_e = \epsilon_o E_y \hat{j} + \epsilon_e E_z \hat{k} \end{cases}$$

Summary .

$\mu_r=1$, $j_o=0$ 时 (平面波)

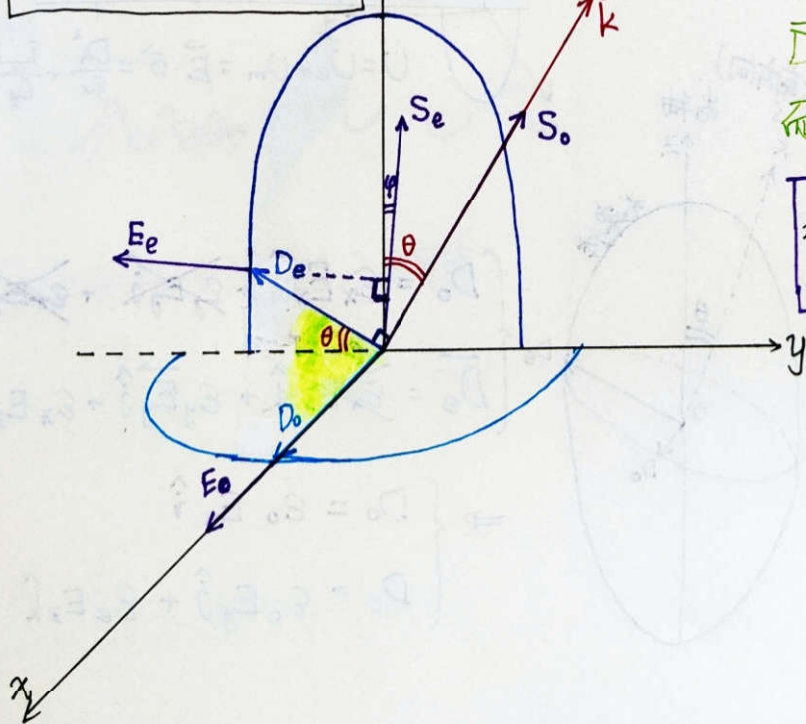
$$\begin{aligned} B &= \mu_o H & E &= E_o e^{-i(\omega t - \vec{k} \cdot \vec{r})} \\ \nabla \times E &= -\frac{\partial B}{\partial t} & D &= D_o e^{-i(\omega t - \vec{k} \cdot \vec{r})} \\ \nabla \times H &= \frac{\partial D}{\partial t} & H &= H_o e^{-i(\omega t - \vec{k} \cdot \vec{r})} \end{aligned}$$

Tips: $\nabla \sim i\vec{k}$

其中 $\vec{k}$ 表示波矢方向单位矢量

$$\begin{aligned} H &= \frac{1}{\omega \mu_o} \vec{k} \times E \\ D &= -\frac{1}{\omega} \vec{k} \times H \end{aligned}$$

$$\begin{aligned} V_{se}^2 &= V_o^2 \cos^2 \theta + V_e^2 \sin^2 \theta \\ V_{so} &= V_o \end{aligned}$$



ϵ 为(对角化后的)张量

$$\begin{aligned} D &= \epsilon E \\ \omega_o &= \frac{1}{2} D \cdot E \\ &\text{电场能量密度} \end{aligned}$$

$$\omega_e = \frac{1}{2\epsilon_o} \left[\left(\frac{D_x}{\epsilon_x} \right)^2 + \left(\frac{D_y}{\epsilon_y} \right)^2 + \left(\frac{D_z}{\epsilon_z} \right)^2 \right]$$

光率体 (介电常量椭球)

记 $x = \frac{D_x}{\sqrt{2\epsilon_o \omega_e}}$
 $y = \dots, z = \dots$

则有

$$f(x, y, z) = \frac{x^2}{\epsilon_x} + \frac{y^2}{\epsilon_y} + \frac{z^2}{\epsilon_z} - 1 = 0$$

且有 $\nabla f \parallel E$

注: 介质内电场振动实质为 D 而非 E .

$\vec{D}$ 可分解为 $\vec{D}_o$ 与 $\vec{D}_e$.

而 $\vec{k}$ 的方向始终不变, 可视为“给定”

$$\text{椭球} \Rightarrow \tan \varphi = \frac{\epsilon_o}{\epsilon_e} \tan \theta$$

指 o 光

Stokes Parameters. (可描述部分偏振光) 不常用

$$S_0 = \langle E_{0x}^2 \rangle + \langle E_{0y}^2 \rangle$$

$$S_1 = \langle E_{0x}^2 \rangle - \langle E_{0y}^2 \rangle$$

$$S_2 = \langle 2 E_{0x} E_{0y} \cos(\epsilon_x - \epsilon_y) \rangle$$

$$S_3 = \langle 2 E_{0x} E_{0y} \sin(\epsilon_x - \epsilon_y) \rangle$$

Unpolarized: $\begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}$

degree of polarization

$$V = \frac{\sqrt{S_1^2 + S_2^2 + S_3^2}}{S_0}$$

The Jones Vectors (只能描述全偏振光) 常用

$$E = \begin{bmatrix} E_x(t) \\ E_y(t) \end{bmatrix} = \begin{bmatrix} E_{0x} e^{i\phi_x} \\ E_{0y} e^{i\phi_y} \end{bmatrix} = \cancel{E_{0x} e^{i\phi_x}} \begin{bmatrix} 1 \\ \frac{E_{0y}}{E_{0x}} e^{i(\phi_y - \phi_x)} \end{bmatrix}$$

有捷径

归一化后的 Jones Vectors 为:

Hori.

Vert.

P-state

P-state

R-state

L-state

P-state

P-state

at $+45^\circ$

at -45°

$$\begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

$$\begin{bmatrix} 0 \\ 1 \end{bmatrix}$$

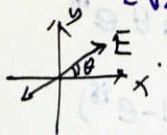
$$\begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{bmatrix}$$

$$\begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} \end{bmatrix}$$

$$\begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} \end{bmatrix}$$

$$\begin{bmatrix} \frac{1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \end{bmatrix}$$

例: 用 R 与 L 表示
的线性叠加



$$E = \begin{bmatrix} E_0 \cos\theta e^{i\phi} \\ E_0 \sin\theta e^{i\phi} \end{bmatrix} \sim \begin{bmatrix} \cos\theta \\ \sin\theta \end{bmatrix} = \alpha \begin{bmatrix} 1 \\ i \end{bmatrix} + \beta \begin{bmatrix} 1 \\ -i \end{bmatrix} \Rightarrow$$

$$\begin{cases} \alpha = \frac{\cos\theta + i\sin\theta}{2} = \frac{1}{2} e^{-i\theta} \\ \beta = \frac{\cos\theta - i\sin\theta}{2} = \frac{1}{2} e^{i\theta} \end{cases}$$

注: 矩阵转置时系数要取共轭.

器件的 Jones Matrices

$$\begin{bmatrix} \alpha' \\ \beta' \end{bmatrix} = J \begin{bmatrix} \alpha \\ \beta \end{bmatrix}$$

出射 入射

Horizontal
线偏振片

$$\begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$

Vertical

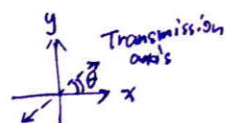
$$\begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix}$$

+45°
线偏振片

$$\frac{1}{2} \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$$

-45°

$$\frac{1}{2} \begin{bmatrix} 1 & -1 \\ -1 & 1 \end{bmatrix}$$



$$\begin{bmatrix} \cos^2 \theta & \frac{1}{2} \sin 2\theta \\ \frac{1}{2} \sin 2\theta & \sin^2 \theta \end{bmatrix}$$

1/4 波片

小 n, 小光程, 小相位改变
(OPL)

fast axis
vertical

$$e^{i\pi/4} \begin{bmatrix} 1 & 0 \\ 0 & -i \end{bmatrix}$$

可去

fast axis
horizontal

$$e^{i\pi/4} \begin{bmatrix} 1 & 0 \\ 0 & i \end{bmatrix}$$

可去

Homogeneous
circular polarizer

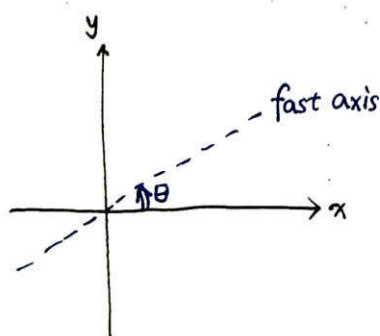
$$\frac{1}{2} \begin{bmatrix} 1 & i \\ -i & 1 \end{bmatrix}$$

left

$$\frac{1}{2} \begin{bmatrix} 1 & -i \\ i & 1 \end{bmatrix}$$

相差为 delta 时 (fast axis horizontal)

$$\begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix}, \text{ with } \delta = \frac{2\pi d}{\lambda} \Delta n$$



$$J = \begin{bmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & e^{i\delta} \end{bmatrix} \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix}$$

$$= \begin{bmatrix} \cos^2 \theta + \sin^2 \theta e^{i\delta} & \sin \theta \cos \theta (1 - e^{i\delta}) \\ \sin \theta \cos \theta (1 - e^{i\delta}) & \sin^2 \theta + \cos^2 \theta e^{i\delta} \end{bmatrix}$$

Interference 干涉

空间干涉 条纹

波前不畸变

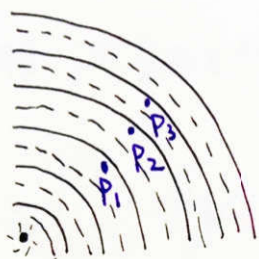
干涉条件: ① 偏振: 不正交

时间干涉 拍频

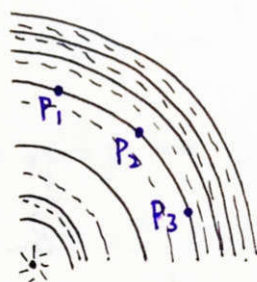
频率稳定

② 相干: 时间相干 & 空间相干

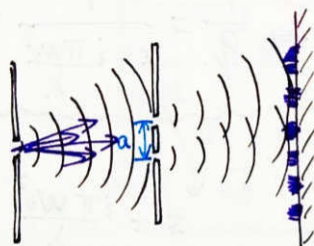
相干时间
相干长度



时间相干:



空间相干: P_1, P_2, P_3 的相位高度关联



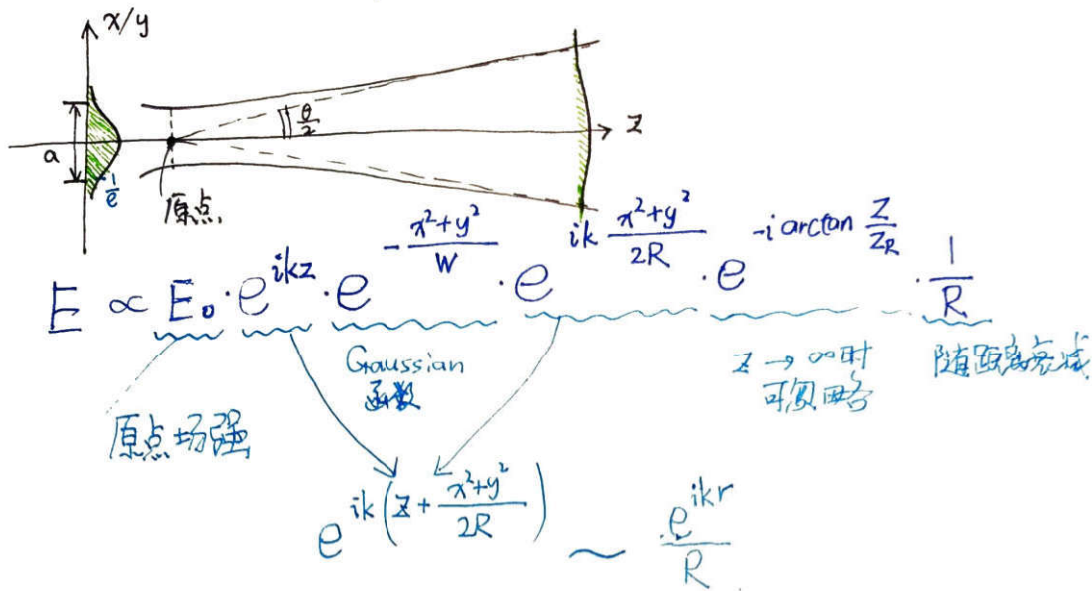
$$\Delta x \cdot \Delta p_x \sim h, \Rightarrow \theta \sim \frac{\lambda}{a}$$

出射偏转角 $\sim \frac{\Delta p_x}{p}, p = \frac{h}{\lambda}$

粒子性

若时间相干性不佳 (频率不稳定) 则条纹不断变化。

Gaussian beam 高斯光束



Using $q \in \mathbb{C}$ to replace z , and $q = q_0 + z$.
 纯虚数 (Pure imaginary) 实数 (Real)

Assume $\frac{1}{q} = \frac{1}{R} + i \frac{\lambda}{\pi w^2}$ ① $\Rightarrow \frac{1}{q_0 + z} = \frac{1}{R} + i \frac{\lambda}{\pi w^2}$

When $z=0$, $R \rightarrow \infty$, $w = w_0 \Rightarrow q_0 = \frac{\pi w_0^2}{i \lambda} \Rightarrow \frac{1}{q} = \frac{1}{z - i \frac{\pi w_0^2}{\lambda}}$ ②

①② $\Rightarrow R = z \left(1 + \frac{z_R^2}{z^2}\right) \rightarrow z$
 比较实际? (Comparison realistic?) 波前曲率半径 (Wavefront radius of curvature)
 with Rayleigh range $z_R = \frac{\pi w_0^2}{\lambda}$

$$= \frac{z + i \frac{\pi w_0^2}{\lambda}}{z^2 + \frac{\pi^2 w_0^4}{\lambda^2}}$$

①② $\Rightarrow W = w_0 \sqrt{1 + \frac{z^2}{z_R^2}} \rightarrow \frac{w_0 z}{z_R}$
 比较实际? (Comparison realistic?) 光束半径 (Beam radius)

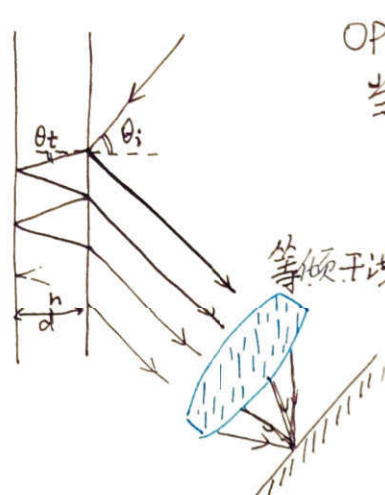
$\Rightarrow \theta = \frac{W}{z} \sim \frac{w_0}{z_R} = \frac{1}{\pi} \frac{\lambda}{w_0} \sim 1.22 \frac{\lambda}{D}$

$$E \propto u \sim \frac{e^{i \frac{k(x^2+y^2)}{2q} + ikz}}{q} = \left(\frac{1}{R} + i \frac{\lambda}{\pi w^2} \right) e^{i \frac{k(x^2+y^2)}{2} \left(\frac{1}{R} + i \frac{\lambda}{\pi w^2} \right)}$$

$$= \left(\sqrt{\frac{1}{R^2} + \frac{\lambda^2}{\pi^2 w^4}} e^{i \arctan \frac{\lambda R}{\pi w^2}} \right) e^{i \frac{k(x^2+y^2)}{2R}} \cdot e^{-\frac{x^2+y^2}{w^2}} \cdot e^{ikz}$$

$$\xrightarrow{z \gg z_R} \frac{\lambda}{\pi w^2} e^{-\frac{x^2+y^2}{w^2}} e^{i \phi(x,y,z)}$$
 with $\phi(x,y,z) = \frac{k(x^2+y^2)}{2R} + kz$

Amplitude - Splitting Interferometers 分振幅干涉



$$OPD = 2nd \cdot \cos \theta_t$$

当 $\theta_i < \theta_c$, phase shift = $2nd \cdot \cos \theta_t \pm \pi$.

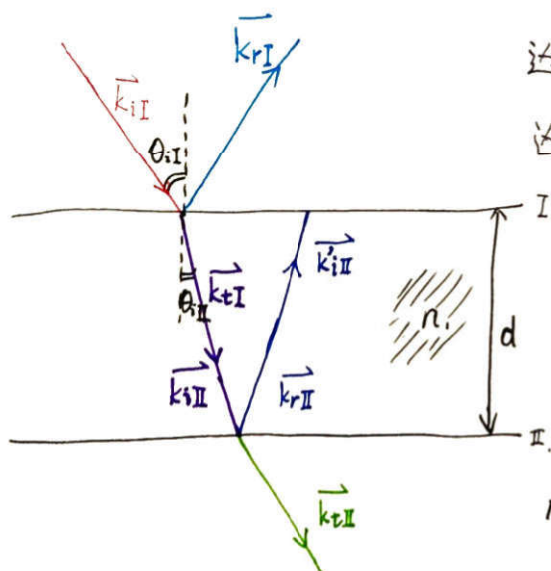
等倾干涉: d 固定, 入射角一致的光干涉.

F-P 腔 (见基础光学笔记)

边界条件: $\vec{E}$ 与 $\vec{H} = \frac{\vec{B}}{\mu}$ 的切向分量连续

$$\text{边界 I: } \vec{E}_I = \vec{E}_{iI} + \vec{E}_{rI} = \vec{E}_{tI} + \vec{E}'_{rI}$$

$$\text{边界 II: } \vec{E}_{II} = \vec{E}_{iII} + \vec{E}_{rII} = \vec{E}_{tII}$$



传播条件:

$$\text{光程 } h = \frac{n_1 d}{\cos \theta_{iII}}$$

$$E_{iII} = E_{tI} e^{ik_0 h} \quad E'_{rII} = E_{rI} e^{ik_0 h}$$

$$\text{with } k_0 = \frac{2\pi}{\lambda_0}$$

1° $E \perp \lambda$ 时,

$$H_I = \sqrt{\frac{\epsilon_0}{\mu_0}} (E_{iI} + E_{rI}) n_0 \cos \theta_{iI}$$

$$= \sqrt{\frac{\epsilon_0}{\mu_0}} (E_{tI} - E'_{rII}) n_1 \cos \theta_{iII}$$

$$H_{II} = \sqrt{\frac{\epsilon_0}{\mu_0}} \dots$$

$$= \sqrt{\frac{\epsilon_0}{\mu_0}} \dots$$

$$\Rightarrow \begin{bmatrix} E_I \\ H_I \end{bmatrix} = \begin{bmatrix} \cos k_0 h & -i \frac{\sin k_0 h}{r_1} \\ -i r_1 \sin k_0 h & \cos k_0 h \end{bmatrix} \begin{bmatrix} E_{II} \\ H_{II} \end{bmatrix}$$

$$r_1 = \sqrt{\frac{\epsilon_1}{\mu_0}} n_1 \cos \theta_{iII}$$

$$\begin{bmatrix} E_I \\ H_I \end{bmatrix} = M_1 \begin{bmatrix} E_{II} \\ H_{II} \end{bmatrix}$$

⋮

$$\begin{bmatrix} E_p \\ H_p \end{bmatrix} = M_p \begin{bmatrix} E_{p+1} \\ H_{p+1} \end{bmatrix}$$

$$\Rightarrow \begin{bmatrix} E_I \\ H_I \end{bmatrix} = M_1 \cdots M_p \begin{bmatrix} E_{p+1} \\ H_{p+1} \end{bmatrix}$$

with $r_i = \sqrt{\frac{\epsilon_0}{\mu_0}} n_i \cos \theta_i$ (垂直入射时)

$$r_p = \sqrt{\frac{\epsilon_0}{\mu_0}} n_p \cos \theta_p$$

$$r = \frac{E_{rI}}{E_{iI}}, \quad t = \frac{E_{tP}}{E_{iI}}, \quad \begin{bmatrix} E_{iI} + E_{rI} \\ (E_{iI} - E_{rI}) \cos \theta_i \end{bmatrix} = \begin{bmatrix} m_{11} & m_{12} \\ m_{21} & m_{22} \end{bmatrix} \begin{bmatrix} E_{tII} \\ E_{tII} \cos \theta_t \end{bmatrix}$$

with $r_0 = \sqrt{\frac{\epsilon_0}{\mu_0}} n_0, \quad r_s = \sqrt{\frac{\epsilon_0}{\mu_0}} n_s$

$\Rightarrow$ 反射系数 $r = \dots$, $t = \dots$

反射率 $R = |r|^2 = r^* r$



当 $k \cdot d = \frac{\pi}{2}$ 即 $d = \frac{\lambda_1}{4}$, 且 $n_1^2 = n_0 n_s \Rightarrow R_1 = 0$. 增透膜

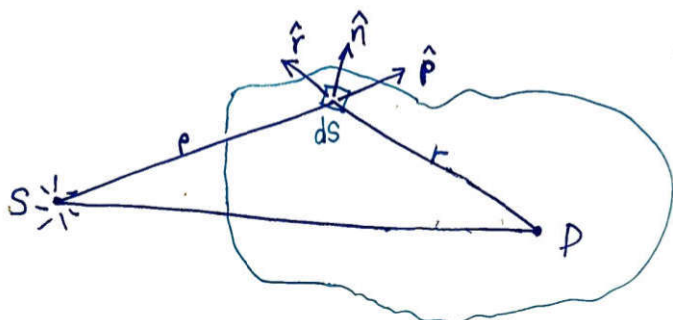


两层 $\frac{\lambda}{4}$, 且 $\left(\frac{n_2}{n_1}\right)^2 = \frac{n_s}{n_0} \Rightarrow R_2 = 0$ 增透膜

Kirchhoff's Integral Theorem

$$4\pi E_p = \oint_S \frac{e^{ikr}}{r} \nabla E \cdot d\vec{S} - \oint_S E \nabla \left(\frac{e^{ikr}}{r} \right) \cdot d\vec{S}$$

证: $\left\{ \begin{array}{l} \nabla^2 E = \frac{1}{c^2} \frac{\partial^2 E}{\partial t^2} \\ \text{格林定理} \end{array} \right.$



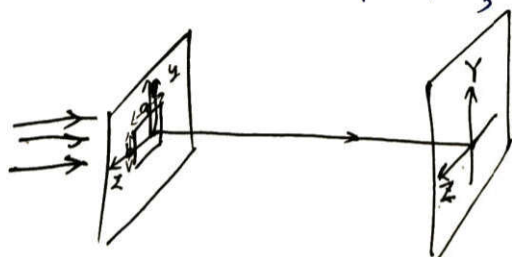
当 $\rho \gg \lambda, r \gg \lambda$ 时, 有菲涅耳-基尔霍夫衍射公式:

$$E_p = -\frac{E_0 i}{\lambda} \oint_S \frac{e^{ik(\rho+r)}}{\rho r} K ds$$

其中 倾斜因子 $K = \frac{\cos \langle \hat{n}, \hat{r} \rangle - \cos \langle \hat{n}, \hat{p} \rangle}{2}$

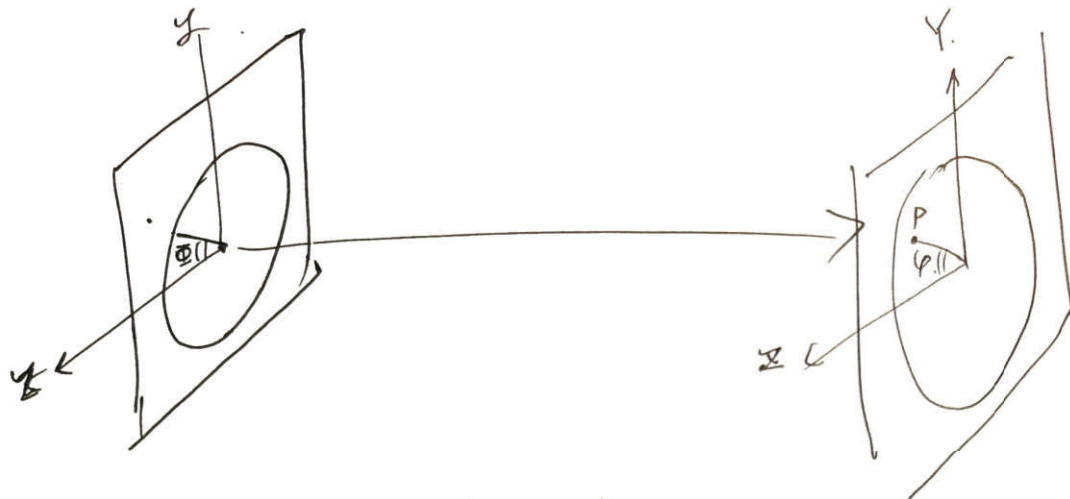
当 $\hat{n}$ 与 $\hat{p}$ 反向, $K = \frac{\cos \theta + 1}{2}, \theta = \langle \hat{p}, \hat{r} \rangle$

远场衍射中, $K \approx 1, r \sim R \left(1 - \frac{yY+zZ}{R^2} \right)^2$



$$I(Y, Z) = I(0) \left(\frac{\sin \alpha'}{\alpha'} \right) \left(\frac{\sin \beta'}{\beta'} \right)^2$$

$$\alpha' = \frac{kaZ}{2R} \quad \beta' = \frac{kbY}{2R}$$



$$dE = \frac{\epsilon_0}{r} e^{i(kr - \omega t)} dS, \quad r \approx R \left[1 - (yY + zZ)/R^2 \right] \quad (\text{远场近似})$$

$$\begin{aligned} yY + zZ &= ik(\rho g \sin\phi \sin\phi + \rho g \cos\phi \cos\phi) \\ &= ik\rho g \underbrace{\cos^2(\phi - \phi)}_{\approx 1} \\ &= ik\rho g \cos\phi \end{aligned}$$

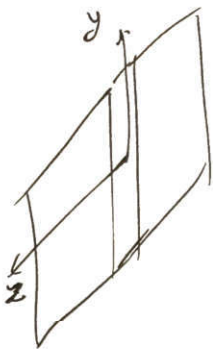
$$\Rightarrow E_P = \iint_{\text{aperture}} dE = \iint_{\text{aperture}} \left(\frac{\epsilon_0}{r} \right) e^{i(kr - \omega t)} dS$$

$$\begin{aligned} &\approx \frac{\epsilon_0}{R} e^{i(kR - \omega t)} \iint_{\text{aperture}} e^{ik(yY + zZ)/R} dS \\ &\begin{cases} x = \rho \cos\phi, \\ y = \rho \sin\phi, \\ z = g \cos\phi, \\ Y = g \sin\phi, \end{cases} = \frac{\epsilon_0}{R} e^{i(kR - \omega t)} \int_{\rho=0}^a \int_{\phi=0}^{2\pi} e^{i\left(\frac{k\rho g}{R}\right) \cos\phi} \rho d\rho d\phi \end{aligned}$$

Bessel.

$$\sim 2\pi \left(\frac{R}{kg} \right)^2 \left(\frac{k\rho g}{R} \right) J_1 \left(\frac{k\rho g}{R} \right)$$

单缝情形



$$r^2 = R^2 + z^2 - 2Rz \cos\left(\frac{\pi}{2} - \theta\right).$$

$$\Rightarrow r \sim R - z \sin\theta.$$